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AN INVESTIGATION OF KUROSH'S THEOREM

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A Thesis

Presented to the

Faculty of

California State University,

San Bernardino

---

In Partial Fulfillment

of the Requirements for the Degree

Master of Arts

in

Mathematics

---

by

Keith Anthony Earl

December 2010

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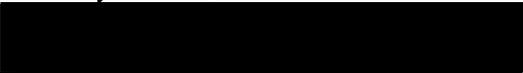
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## ABSTRACT

An algebra  $\mathcal{A}$  is a vector space over a base field  $\mathcal{F}$  which is not necessarily commutative nor unital. Though an algebra may be generated by a finite number of elements, this does not necessarily imply that the algebra is finite-dimensional over  $\mathcal{F}$ . This study will be investigation of Alekander Kurosh's problem, in the attempt to establish the necessary hypotheses to ensure that a finitely generated algebra is finite-dimensional.

An algebra  $\mathcal{A}$  is algebraic if for each  $a \in \mathcal{A}$ ,  $\alpha_n a^n + \alpha_{n-1} a^{n-1} + \cdots + \alpha_0 = 0$  for some  $\alpha_i \in \mathcal{F}$  and  $n > 0$ . Additionally, an algebra is said to satisfy a polynomial identity if there exists an  $f \in \mathcal{F}\langle x_1, \dots, x_d \rangle$  such that  $f(a_1, \dots, a_d) = 0$  for every  $a_1, \dots, a_d \in \mathcal{A}$ . In this study we will arrive at the conclusion that if  $\mathcal{A}$  is finitely generated, algebraic and satisfies a polynomial identity, then  $\mathcal{A}$  is finite-dimensional, providing a sufficient condition to the Kurosh Problem.

## ACKNOWLEDGEMENTS

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**Bibliography**

# Chapter 1

## Introduction

In this work, an algebra  $\mathcal{A}$  is a vector space over a base field  $\mathcal{F}$  which has a bilinear associative multiplication and is not necessarily commutative nor unital. If every finitely generated subalgebra of  $\mathcal{A}$  is finite-dimensional then  $\mathcal{A}$  is **locally finite**. In the case that  $\mathcal{A}$  is finite-dimensional it will naturally occur that  $\mathcal{A}$  is locally finite, since every finitely generated subalgebra is a subspace of  $\mathcal{A}$ . In the case that  $\mathcal{A}$  is infinite-dimensional, would  $\mathcal{A}$  be locally finite? The answer to this question is no since the algebra  $\mathcal{F}[x]$  of the polynomials in  $x$  is infinite-dimensional, but is generated as an algebra by  $\{1, x\}$ .

In 1902, William Burnside posed a group theoretic conjecture on whether a finite collection of elements of finite order generates a finite group. Alekander Kurosh, in 1941 [Ami74, p.2], posed an analogous question in terms of algebras to that of Burnside's in an attempt to add a hypothesis to guarantee the local finiteness of algebras. An algebra  $\mathcal{A}$  is said to be **algebraic** if for each element  $a \in \mathcal{A}$ , the subalgebra generated by  $a$  is finite-dimensional; that is for some  $n \geq 1$  and for some  $\alpha_0, \dots, \alpha_n \in \mathcal{F}$ ,  $\alpha_n a^n + \alpha_{n-1} a^{n-1} + \dots + \alpha_0 = 0$ . The conjecture proposed by Kurosh can then be stated as follows:

*Suppose that an algebraic algebra  $\mathcal{A}$  has a finite number of algebra generators, is  $\mathcal{A}$  locally finite?*

Although Kurosh validated this conjecture in the specific case for algebraic algebras each whose elements satisfy a minimal polynomial of degree no greater than three, in 1963 E.S. Golod and I.R. Shafarevitch disproved Kurosh's conjecture by constructing an infinite-dimensional finitely generated algebraic algebra proving Kurosh's general conjecture in the negative. In order for  $\mathcal{A}$  to be finite-dimensional an additional hypothesis

is needed to limit the length of the words  $x_{i_1} \cdots x_{i_n} \in \mathcal{F}\langle x_1, \dots, x_d \rangle$ , the free associative, non-commutative algebra in the indeterminates  $x_1, \dots, x_d$ .

For example, if the condition of commutativity is imposed on the algebra  $\mathcal{A}$  then the subalgebra generated by  $\{a_1, a_2\} \subseteq \mathcal{A}$  will then be locally finite, since the degree of each word  $a_1^{n_1} a_2^{m_1} \cdots a_1^{n_j} a_2^{m_j} = a_1^{n_1 + \cdots + n_j} a_2^{m_1 + \cdots + m_j}$  in the subalgebra is bounded by the degrees of the polynomials satisfied by  $a_1$  and  $a_2$  respectively. To see this process in detail, let  $a_1$  and  $a_2$  be nil with  $a_1^r = 0$  and  $a_2^s = 0$ , then  $a_1$  and  $a_2$  are algebraic and  $a_1^{n_1 + \cdots + n_j} a_2^{m_1 + \cdots + m_j} = a_1^n a_2^m$ , for some  $0 \leq n < r$ ,  $0 \leq m < s$ . Thus the typical element of the subalgebra generated by  $a_1$  and  $a_2$  is spanned by these finitely many  $a_1^n a_2^m$ , and so is finite-dimensional. The same iterative process may be used to show in the algebraic, but not necessarily nil case, that  $\mathcal{A}$  is locally finite. Though every commutative nil algebra is locally finite, in the absence of commutativity and nil-potency we will need the inclusion of a **polynomial identity**.

Let  $\mathcal{A}$  be an algebra, then  $\mathcal{A}$  satisfies a polynomial identity (P.I.) if there exists some  $f(x_1, \dots, x_d) \in \mathcal{F}\langle x_1, \dots, x_d \rangle$  such that  $f(a_1, \dots, a_d) = 0$  for every  $a_1, \dots, a_d \in \mathcal{A}$ . Kurosh's theorem can now be stated as,

*Suppose that an algebraic algebra  $\mathcal{A}$  satisfies a polynomial identity, then  $\mathcal{A}$  is locally finite.*

In order to comprehend Kurosh's theorem, we will need to investigate the notion of a module over a ring. A **module**  $\mathcal{M}$  over a ring  $\mathcal{R}$  ( $\mathcal{R}$ -Module) is a ring homomorphism  $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$ , the ring of all endomorphisms of the abelian group  $\mathcal{M}$ . For a given  $\mathcal{R}$ -module  $\mathcal{M}$ , a specific ring homomorphism that will be frequently used in this thesis will be the map  $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$  which sends  $a \mapsto S_a$  where  $(b)S_a = ba$  ( $b \in \mathcal{M}$ ). Furthermore a module is **faithful** if the ring homomorphism is 1-1, and is **irreducible** if there does not exist any proper submodules of  $\mathcal{M}$  other than  $\{0\}$ .

For example  $\mathbb{Z}_7$  is a right (or left)  $\mathbb{Z}$ -module given by the ring homomorphism  $\Phi: \mathbb{Z} \rightarrow \text{End}(\mathbb{Z}_7)$  where for  $r \in \mathbb{Z}$ ,  $S_r$  is well-defined by  $(x)S_r = xr$  ( $x \in \mathbb{Z}_7$ ). Since the only proper subgroup of  $\mathbb{Z}_7$  is  $\langle 0 \rangle$ ,  $\mathbb{Z}_7$  does not contain any proper submodules, hence it is an irreducible  $\mathbb{Z}$ -module. In addition  $\mathbb{Z}_7$  is not faithful as a  $\mathbb{Z}$ -module since any two multiples of 7 produce the same image in  $\text{End}(\mathbb{Z}_7)$ . In particular for  $7, 14 \in \mathbb{Z}$ ,  $(x)S_7 = 7x = 0 = 14x = (x)S_{14}$ , thus  $\Phi$  is not 1-1 since  $\text{Ker}(\Phi) = 7\mathbb{Z}$ . To correct this we may consider the ring  $\mathbb{Z}/\text{Ker}(\Phi) = \mathbb{Z}/7\mathbb{Z}$ , and by the first isomorphism theorem of rings

$\Psi : \mathbb{Z}/7\mathbb{Z} \rightarrow \text{End}(\mathbb{Z}_7)$  is a 1-1 mapping. We have constructed a  $\mathbb{Z}/7\mathbb{Z}$ -module  $\mathbb{Z}_7$  that is faithful and irreducible. Alternatively, the abelian group  $\mathbb{Z}_6$  is neither a faithful nor irreducible  $\mathbb{Z}$  module, since multiples of 6 will produce the same image under  $\Phi$ , and it contains the proper subgroups and hence submodules  $\langle 2 \rangle$  and  $\langle 3 \rangle$ .

In this project we will also need to present the commuting ring  $\Delta$  of an  $\mathcal{R}$ -module  $\mathcal{M}$ , which is the subring of  $\text{End}(\mathcal{M})$  consisting of all endomorphisms of  $\mathcal{M}$  that commute with the endomorphisms  $S_a$  ( $a \in \mathcal{R}$ ). We will then examine **Jacobson Density**, which is a generalization of all  $\Delta$ -linear endomorphisms on  $\mathcal{M}$ , and the concept of primitive rings (rings having a faithful irreducible module). These ideas will be necessary to prove **Kaplansky's theorem** an important breakthrough in P.I. theory and in the development of Kurosh's theorem; it states that a primitive algebra that satisfies a P.I. is finite dimensional over its center.

This masters project will be an exposition of the Kurosh Theorem and the necessary and sufficient condition that  $\mathcal{A}$  must be algebraic and satisfy a P.I. to be locally finite.

## Chapter 2

# $\mathcal{R}$ -Modules & Schur's Lemma

### 2.1 $\mathcal{R}$ -modules

In this chapter we will introduce the concept of a module over a ring, which will be referred to as an  $\mathcal{R}$ -module. Generally an  $\mathcal{R}$ -module is a vector space over a ring. Formally an  $\mathcal{R}$ -module may be described using representation theory (Chapter 1) but it will be beneficial to the reader for an axiomatic description.

**Definition 2.1.** Let  $\mathcal{R}$  be a ring and  $\mathcal{M}$  an additive abelian group. Then  $\mathcal{M}$  is a *right  $\mathcal{R}$ -module* if there is a map  $\mathcal{M} \times \mathcal{R} \rightarrow \mathcal{M}$ , sending  $(m, r) \mapsto mr$  and for which the following holds for all  $m \in \mathcal{M}$  and  $r \in \mathcal{R}$ ,

1.  $(m_1 + m_2)r = m_1r + m_2r$
2.  $m(r_1 + r_2) = mr_1 + mr_2$
3.  $(mr_1)r_2 = m(r_1r_2)$ .

Though the rings that we consider do not necessarily have unity, an  $\mathcal{R}$ -module  $\mathcal{M}$  is **unital** if there exists  $1 \in \mathcal{R}$  such that  $m(1) = m$  for every  $m \in \mathcal{M}$ . Additionally we could define a left  $\mathcal{R}$ -module by allowing the ring elements to act on the group elements on the left, but throughout this study an  $\mathcal{R}$ -module will simply be a right  $\mathcal{R}$ -module.

**Example 2.2.**  $6\mathbb{Z}$  is a right  $\mathbb{Z}$  module,  $6\mathbb{Z} \times \mathbb{Z} \rightarrow 6\mathbb{Z}$  with the action defined as  $(x + 6\mathbb{Z})y = xy + 6\mathbb{Z}$  ( $x, y \in \mathbb{Z}$ ). Since  $6\mathbb{Z}$  is a two-sided ideal of  $\mathbb{Z}$ , the properties of  $6\mathbb{Z}$  as a  $\mathbb{Z}$ -module are satisfied.

In the context of a vector space  $\mathcal{V}$ , a subspace of  $\mathcal{V}$  is a subset which is a vector space itself under the operations of  $\mathcal{V}$ . It will be natural for us to define an analogous concept in terms of modules.

**Definition 2.3.** A *submodule*  $\mathcal{N}$  of  $\mathcal{M}$  is an abelian subgroup of  $\mathcal{M}$  which is closed under scalar multiplication: if  $x \in \mathcal{N}$ ,  $r \in \mathcal{R}$  then  $rx \in \mathcal{N}$ .

**Example 2.4.**  $\mathcal{R}$  itself is a right  $\mathcal{R}$ -module with the action defined as usual ring multiplication. In addition any right ideal  $\mathcal{U}$  of  $\mathcal{R}$  is a submodule of  $\mathcal{R}$ .

We will often denote the action of  $\mathcal{R}$  on  $\mathcal{M}$  by multiplication. That is  $\mathcal{M}\mathcal{R} = \{mr \mid m \in \mathcal{M}, r \in \mathcal{R}\}$ . This will serve to remove any confusion that might arise by implementing function notation.

**Proposition 2.5.** Let  $\mathcal{M}$  be an  $\mathcal{R}$ -module then for a fixed  $m \in \mathcal{M}$ ,  $m\mathcal{R}$  is a submodule of  $\mathcal{M}$ .

*Proof.* To verify this we will first show that  $m\mathcal{R}$  is a subgroup of  $\mathcal{M}$ .  $\mathcal{M}$  is an  $\mathcal{R}$ -module, hence  $m\mathcal{R} \subseteq \mathcal{M}$ . For  $mr_1, mr_2 \in m\mathcal{R}$  we have  $mr_1 - mr_2 = m(r_1 - r_2) \in m\mathcal{R}$ . By the standard subgroup test  $m\mathcal{R}$  is a subgroup of  $\mathcal{M}$ . To see that it preserves multiplication by ring elements, it follows from the fact that  $\mathcal{M}$  is an  $\mathcal{R}$ -module that for  $r_1 \in \mathcal{R}$ ,  $(mr_1)r_2 = m(r_1r_2) \in m\mathcal{R}$ .  $\square$

**Example 2.6.** Let  $\mathcal{N}$  be a submodule of  $\mathcal{M}$ . The quotient module  $\mathcal{M}/\mathcal{N}$  is an  $\mathcal{R}$ -module by defining the action  $(\mathcal{N} + m)r = \mathcal{N} + mr$  for every  $r \in \mathcal{R}$ ,  $m \in \mathcal{M}$ .

**Proposition 2.7.** If  $\mathcal{U}$  is an ideal of  $\mathcal{R}$  then the submodules of  $\mathcal{M}$  as an  $\mathcal{R}$ -module correspond to the submodules of  $\mathcal{M}$  as a  $\mathcal{R}/\mathcal{U}$ -module.

We will defer the reader to [Jac09, p.3] for the proof of this proposition.

**Definition 2.8.** If  $\mathcal{M}$  and  $\mathcal{N}$  are  $\mathcal{R}$ -modules then the mapping  $\Psi : \mathcal{M} \rightarrow \mathcal{N}$  is a *module homomorphism* if and only if for  $m_1, m_2 \in \mathcal{M}$ ,  $r \in \mathcal{R}$

1.  $(m_1 + m_2)\Psi = (m_1)\Psi + (m_2)\Psi$
2.  $(m_1r)\Psi = (m_1)\Psi r$ .

**Definition 2.9.** A module  $\mathcal{M}$  is *irreducible* if the action on  $\mathcal{M}$  by  $\mathcal{R}$  is non-trivial ( $\mathcal{M}\mathcal{R} \neq \{0\}$ ) and if the only submodules of  $\mathcal{M}$  are  $\{0\}$  and  $\mathcal{M}$ .

**Definition 2.10.** An ideal  $I$  of  $\mathcal{R}$  is *maximal* if for every ideal  $S$  of  $\mathcal{R}$  such that  $I \subset S \subseteq \mathcal{R}$ , then  $S = \mathcal{R}$ .

The next result provides a family of examples of irreducible modules.

**Proposition 2.11.** *Let  $I$  be a maximal ideal of  $\mathcal{R}$  then  $\mathcal{R}/I$  is an irreducible  $\mathcal{R}$ -module.*

*Proof.* Let  $I$  be a maximal right ideal of  $\mathcal{R}$ . Since  $\mathcal{R}/I$  is an  $\mathcal{R}$ -module, it suffices to show that  $\mathcal{R}/I$  contains no non-zero proper submodules. If  $\mathcal{N}$  is a non-zero proper submodule of  $\mathcal{R}/I$ , then the preimage of  $\mathcal{N}$  under the map  $v: \mathcal{R} \rightarrow \mathcal{R}/I$  is a right ideal of  $\mathcal{R}$ . Furthermore this right ideal is not equal to  $\mathcal{R}$  and properly contains  $I$ . A contradiction, since  $I$  is maximal. Hence  $\mathcal{N} = \{0\}$ .  $\square$

**Example 2.12.** For any prime  $p$ ,  $\mathbb{Z}/p\mathbb{Z}$  is an irreducible  $\mathbb{Z}$ -module.

**Example 2.13.** For a field  $\mathcal{F}$ , let  $q(x)$  be an irreducible polynomial in  $\mathcal{F}[x]$ . Define the principle ideal of  $\mathcal{F}[x]$  generated by  $q(x)$  to be  $\langle q(x) \rangle = \{f(x)q(x) \mid f(x) \in \mathcal{F}[x]\}$ , then  $\mathcal{F}[x]/\langle q(x) \rangle$  is an irreducible  $\mathcal{F}[x]$ -module.

**Proposition 2.14.** *Let  $\mathcal{M}$  be an irreducible  $\mathcal{R}$ -module, then for every non-zero  $m \in \mathcal{M}$ ,  $m\mathcal{R} = \mathcal{M}$ .*

*Proof.* Let  $m \neq 0$  be an element of  $\mathcal{M}$ . Then  $m\mathcal{R}$  and  $\mathcal{N} = \{x \in \mathcal{M} \mid x\mathcal{R} = 0\}$  are both submodules of  $\mathcal{M}$ . From irreducibility, both submodules are either 0 or  $\mathcal{M}$ . We see that  $\mathcal{N} = \{0\}$ , otherwise  $\mathcal{N}\mathcal{R} = \{0\} = \mathcal{M}\mathcal{R}$  which implies that the action is trivial. As a result  $\mathcal{N} = \{0\}$  and since  $m \neq 0$ , we have that  $m\mathcal{R} \neq \{0\}$ . We see that  $m\mathcal{R} = \mathcal{M}$ .  $\square$

This result will be often referred to in Chapter 4.

For an  $\mathcal{R}$ -module  $\mathcal{M}$  if  $\mathcal{M}r = 0$ , it does not necessarily imply that  $r = 0$ . In Example 2.2 for  $6 \in \mathbb{Z}$  and for every  $x \in \mathbb{Z}$  we have  $(x + 6\mathbb{Z})6 = x6 + 6\mathbb{Z} = 0 + 6\mathbb{Z}$ . In Example 2.13, any polynomials  $g, h \in \mathcal{F}[x]$  with  $g(x) = h(x)q(x)$ ,  $g(x)$  will be mapped to 0 under the action on  $\mathcal{F}[x]/\langle q(x) \rangle$  by  $\mathcal{F}[x]$ . We will look to classify these ring elements that annihilate the module by the given action.

**Definition 2.15.**  $\text{Ann}(\mathcal{M}) = \{r \in \mathcal{R} \mid \mathcal{M}r = \{0\}\}$ . Furthermore, a module  $\mathcal{M}$  is *faithful* if  $\text{Ann}(\mathcal{M}) = \{0\}$ .

We will leave it to the reader to verify that  $\text{Ann}(\mathcal{M})$  is a two-sided ideal of  $\mathcal{R}$ .

**Proposition 2.16.**  $\mathcal{M}$  is a faithful  $\mathcal{R}/\text{Ann}(\mathcal{M})$ -module.

*Proof.* Consider  $\Phi : \mathcal{M} \times \mathcal{R}/\text{Ann}(\mathcal{M}) \longrightarrow \mathcal{M}$ ,  $(m, r + \text{Ann}(\mathcal{M})) \mapsto m(r + \text{Ann}(\mathcal{M})) = mr + \text{Ann}(\mathcal{M})$ . With the presence of cosets we must first show that this mapping is well-defined. To see this suppose  $(m, r + \text{Ann}(\mathcal{M})) = (m, r' + \text{Ann}(\mathcal{M}))$ . Since  $r + \text{Ann}(\mathcal{M}) = r' + \text{Ann}(\mathcal{M})$ , we have  $r - r' \in \text{Ann}(\mathcal{M})$ . In particular,  $m(r - r') + \text{Ann}(\mathcal{M}) = 0 + \text{Ann}(\mathcal{M})$ . From this we obtain the desired result  $mr + \text{Ann}(\mathcal{M}) = mr' + \text{Ann}(\mathcal{M})$ . One can verify that the defined action preserves the necessary properties of an  $\mathcal{R}/\text{Ann}(\mathcal{M})$ -module.

We will proceed to show that  $\Phi$  is faithful by proving that only the zero element in  $\mathcal{R}/\text{Ann}(\mathcal{M})$  annihilates  $\mathcal{M}$ . Let  $m(r + \text{Ann}(\mathcal{M})) = 0$  for every  $m \in \mathcal{M}$ . From the definition of  $\text{Ann}(\mathcal{M})$ , we see that  $mr = 0$  for every  $m \in \mathcal{M}$ . This places  $r \in \text{Ann}(\mathcal{M})$ . We have the showed that  $r + \text{Ann}(\mathcal{M}) = 0 + \text{Ann}(\mathcal{M})$ . So  $\mathcal{M}$  is a faithful  $\mathcal{R}/\text{Ann}(\mathcal{M})$ -module.  $\square$

## 2.2 Module Representation

**Proposition 2.17.** 1) Let  $\mathcal{M}$  be an  $\mathcal{R}$ -module then there exists a ring homomorphism  $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$ , the ring of all endomorphisms of  $\mathcal{M}$ . 2) Let  $\mathcal{M}$  be an abelian group and let  $\Phi : \mathcal{R} \rightarrow \text{End}(\mathcal{M})$  be a ring homomorphism. Then  $\mathcal{M}$  is an  $\mathcal{R}$ -module.

*Proof.* (1) For every  $r \in \mathcal{R}$  let  $S_r : \mathcal{M} \rightarrow \mathcal{M}$  with the evaluation  $(m)S_r = mr$ . Since  $\mathcal{M}$  is an  $\mathcal{R}$ -module we see that for  $m_1, m_2 \in \mathcal{M}$ ,

$$\begin{aligned} (m_1 + m_2)S_r &= (m_1 + m_2)r \\ &= m_1r + m_2r \\ &= (m_1)S_r + (m_2)S_r, \end{aligned}$$

so  $S_r$  is an endomorphism of the abelian group  $\mathcal{M}$ .  $\text{End}(\mathcal{M})$  is a ring with respect to the binary operations of addition and multiplication given by: for  $\phi, \psi \in \text{End}(\mathcal{M})$  we define addition as  $(m)(\phi + \psi) = (m)\phi + (m)\psi$ , and multiplication as  $(m)(\psi\phi) = [(m)\psi]\phi$ .

Let  $\Phi : \mathcal{R} \rightarrow \text{End}(\mathcal{M})$  with  $(r)\Phi = S_r$ . Then for  $r, s \in \mathcal{R}$  and  $m \in \mathcal{M}$ ,  $(m)S_{r+s} = (m)S_r + (m)S_s$  which results in  $(r + s)\Phi = (r)\Phi + (s)\Phi$ . In addition we see that  $(m)S_{rs} = (m)(rs) = (mr)s = [(m)S_r]S_s$ . Thus  $(rs)\Phi = (r)\Phi(s)\Phi$ . We see that  $\Phi$  is a homomorphism.

(2) Define the map  $\mathcal{M} \times \mathcal{R} \rightarrow \mathcal{M}$  with  $(m, r) \mapsto (m)\Phi_r$ . Where the evaluation at  $r$  of the endomorphism,  $(r)\Phi$  is  $\Phi_r$ . By applying the fact that  $\Phi_r$  is an endomorphism of  $\mathcal{M}$  we see that the axioms of  $\mathcal{M}$  as a  $\mathcal{R}$ -module are satisfied.

1.  $(m_1 + m_2)\Phi_r = (m_1)\Phi_r + (m_2)\Phi_r$
2.  $(m)\Phi_{r+s} = (m)\Phi_r + (m)\Phi_s$
3.  $(m)\Phi_{rs} = [(m)\Phi_r]\Phi_s$ .

Thus the ring homomorphism  $\Phi$  defines an  $\mathcal{R}$ -module. □

What arises from this proposition is an alternate way to define an  $\mathcal{R}$ -module. In addition to the axiomatic approach, we may consider an  $\mathcal{R}$ -module  $\mathcal{M}$  to be a **representation** of  $\mathcal{R}$ . That is there exists a ring homomorphism from  $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$ . This approach will be used primarily in Chapter 3, in an attempt to describe special characteristics of  $\mathcal{R}$ -modules. The definitions defined earlier in this chapter may be viewed in the context of Proposition 2.17. For instance, a **faithful** module may be viewed as a injective homomorphism  $\mathcal{R} \rightarrow \text{End}(\mathcal{M})$ .

In addition, a consequence that arises from Proposition 2.17 is that every  $r \in \mathcal{R}$  may be identified with a specific endomorphism of  $\mathcal{M}$ ,  $S_r$ . In the proceeding definition we will look to characterize the endomorphisms of  $\mathcal{M}$  that commute with the particular endomorphisms  $S_r$ .

**Definition 2.18.**  $C(\mathcal{M}) = \{\phi \in \text{End}(\mathcal{M}) \mid \phi S_r = S_r \phi \text{ for every } r \in \mathcal{R}\}$

**Proposition 2.19.**  $C(\mathcal{M})$  is the ring of all module endomorphisms of  $\mathcal{M}$ .

*Proof.* Since the identity endomorphism  $1_m: m \mapsto m$  is in  $C(\mathcal{M})$  we see it is a non-empty subset of  $\text{End}(\mathcal{M})$ . For  $\psi, \phi \in C(\mathcal{M})$ , we have  $(m)S_r(\phi - \psi) = (mr)(\phi - \psi) = (mr)\phi - (mr)\psi = (mS_r)\phi - (mS_r)\psi = (m\phi)S_r - (m\psi)S_r = (m)(\phi - \psi)S_r$ , which places  $\phi - \psi \in C(\mathcal{M})$ . To see that  $\psi\phi \in C(\mathcal{M})$  observe that  $(m)S_r(\phi\psi) = (m)(\phi S_r \psi) = (m)(\phi\psi)S_r$ . It may be concluded that  $C(\mathcal{M})$  is a subring of  $\text{End}(\mathcal{M})$ .

Let  $\Upsilon$  be the collection of all module endomorphisms of  $\mathcal{M}$ . For an arbitrary  $\Phi \in C(\mathcal{M})$ , by definition  $(m_1 + m_2)\Phi = (m_1)\Phi + (m_2)\Phi$  and  $(mr)\Phi = (mS_r)\Phi = (m\Phi)S_r = (m\Phi)r$ . As a result  $C(\mathcal{M}) \subseteq \Upsilon$ . Conversely, any module endomorphism of  $\Upsilon$  must preserve the scalars of  $\mathcal{R}$ , hence must commute with every  $S_r$  so  $\Upsilon \subseteq C(\mathcal{M})$ . With both inclusions proved we see that  $C(\mathcal{M}) = \Upsilon$ . □

## 2.3 Schur's Lemma

**Definition 2.20.** A *division ring* is a ring in which every non-zero element has a multiplicative inverse.

**Theorem 2.21.** (Schur's Lemma) *Let  $\mathcal{M}$  be an irreducible  $\mathcal{R}$ -module, then  $C(\mathcal{M})$  is a division ring.*

*Proof.* For  $C(\mathcal{M})$  to be a division ring we must show that any non-zero element of  $C(\mathcal{M})$  is invertible. That is if  $\phi \neq 0$  and  $\phi \in C(\mathcal{M})$ , there exists a  $\phi^{-1}$  such that  $\phi\phi^{-1} = \phi^{-1}\phi = 1_{\mathcal{M}}$ . Note that this can be reduced to proving that if  $\phi \in C(\mathcal{M})$  that there is a  $\phi^{-1} \in \text{End}(\mathcal{M})$ . This is because if  $S_r\phi = \phi S_r$  for every  $r \in \mathcal{R}$ , then  $\phi^{-1}(S_r\phi)\phi^{-1} = \phi^{-1}(\phi S_r)\phi^{-1}$ , which results in  $\phi^{-1}S_r = S_r\phi^{-1}$  placing  $\phi^{-1} \in C(\mathcal{M})$ .

Let  $\phi \neq 0 \in C(\mathcal{M})$  and denote  $(\mathcal{M})\phi = N$ . For every  $r \in \mathcal{R}$  we see that  $Nr = (N)S_r = (\mathcal{M}\phi)S_r = (\mathcal{M}S_r)\phi \subseteq (\mathcal{M})\phi \subseteq N$ . Thus  $N$  is closed under multiplication of elements of the ring  $\mathcal{R}$ . From this we see that  $N$  is a submodule of  $\mathcal{M}$ . Since  $\mathcal{M}$  is irreducible,  $N$  is either  $\mathcal{M}$  or  $\{0\}$ . This implies that  $(\mathcal{M})\phi = \mathcal{M}$  or  $(\mathcal{M})\phi = \{0\}$ . Since  $\phi \neq 0$  the latter case cannot occur, thus  $(\mathcal{M})\phi = \mathcal{M}$ . We see that  $\phi$  is surjective. From definition the kernel of this mapping,  $\text{Ker}(\phi)$  is a submodule of  $\mathcal{M}$ . In addition it cannot be all of  $\mathcal{M}$  thus,  $\text{Ker}(\phi) = \{0\}$ . Note that  $\phi$  is injective, since if  $m_1, m_2 \in \mathcal{M}$  with  $(m_1)\phi = (m_2)\phi$ , then  $(m_1 - m_2)\phi = 0$  which implies that  $m_1 - m_2 \in \text{Ker}(\phi) = \{0\}$ . Thus  $m_1 = m_2$ . We have proven that  $\phi$  is a bijection. Thus there is an inverse  $\phi^{-1}$  which is a endomorphism of  $\mathcal{M}$ . From the previous remarks, we have proven that  $\phi^{-1} \in C(\mathcal{M})$ .  $\square$

## Chapter 3

# The Density Theorem

### 3.1 The Density Theorem

**Definition 3.1.** A ring is *primitive* if and only if it has a faithful irreducible module.

From Schur's Lemma, for an irreducible module  $\mathcal{M}$ , it was proven that the commuting ring  $C(\mathcal{M})$  is a division ring. With this result, we may view  $\mathcal{M}$  as a right vector space over  $C(\mathcal{M})$ . In fact denoting  $C(\mathcal{M}) = \Delta$ , then for  $v \in \mathcal{M}$  and  $\alpha \in \Delta$ ,  $v\alpha$  is the evaluation of  $v$  by the module homomorphism  $\alpha$ . Since  $\mathcal{M}$  is an  $\mathcal{R}$ -module, vector addition is identical to addition of group elements of  $\mathcal{M}$ . The scalars of  $\mathcal{M}$  are elements of  $End(\mathcal{M})$ , so both distributive laws are satisfied and by the properties of composition of functions:  $v(\alpha + \beta) = v\alpha + v\beta$ ,  $v(\alpha\beta) = (v\alpha)\beta$ . Since  $\Delta$  is a division ring the scalars do not necessarily commute. Aside from this, most properties of a vector space over a field (i.e linear independence) are preserved.

**Definition 3.2.**  $\mathcal{R}$  is a *dense ring of linear transformations* on  $\mathcal{M}$  over  $\Delta$ , if for any  $k$  linear independent elements (over  $\Delta$ )  $v_1, \dots, v_k \in \mathcal{M}$  ( $k \geq 1$ ) and for any elements  $m_1, \dots, m_k \in \mathcal{M}$  there is an  $r \in \mathcal{R}$  such that  $v_i r = m_i$  for  $i = 1, 2, \dots, k$ .

A dense ring of linear transformations is also said to act densely on  $\mathcal{M}$ .

**Lemma 3.3.** Let  $\mathcal{V}$  be a finite-dimensional subspace of  $\mathcal{M}$  over  $\Delta$ . Suppose  $m \in \mathcal{M}$  and  $m \notin \mathcal{V}$  then there exists an  $r \in \mathcal{R}$  such that  $\mathcal{V}r = \{0\}$  and  $mr \neq 0$ .

*Proof.* Suppose that  $\dim(\mathcal{V})=k$  ( $k \geq 0$ ). We will proceed by induction on the dimension of the subspace  $\mathcal{V}$  over  $\Delta$ .

If  $\dim(\mathcal{V})=0$ , we have that  $\mathcal{V} = \{0\}$ . Since  $\mathcal{M}$  is irreducible from Proposition 2.14, if  $m \neq 0$  there exists a  $r \in \mathcal{R}$  such that  $mr \neq 0$ . Naturally  $\mathcal{V}r = \{0\}$  and the base case is proved.

Suppose that the hypothesis is valid for every subspace of  $\mathcal{W}$  of  $\mathcal{M}$  over  $\Delta$  with  $\dim(\mathcal{W}) \leq k-1$ . If we let  $v_1, \dots, v_k$  be a basis of  $\mathcal{V}$  then each element in  $\mathcal{V}$  may be written as  $\sum_{i=1}^k v_i \alpha_i = \sum_{i=2}^k v_i \alpha_i + v_1 \alpha_1$  ( $\alpha_i \in \Delta$ ). Thus  $\mathcal{V}$  may be decomposed into  $\mathcal{V} = \mathcal{W} + v\Delta$  ( $v = v_1 \notin \mathcal{W}$ ). Define  $A(\mathcal{W}) = \{x \in \mathcal{R} \mid \mathcal{W}x = 0\}$ . Observe  $\mathcal{W}$  is a finite-dimensional subspace with  $\dim(\mathcal{W}) = \dim(\mathcal{V}) - 1 = k - 1$ . By induction, if  $m \in \mathcal{M}$  and  $m \notin \mathcal{W}$  then there exists  $x \in \mathcal{R}$  with  $\mathcal{W}x = 0$  and  $mx \neq 0$ . In short, for this particular  $m$  there exists  $x \in A(\mathcal{W})$  such that  $mx \neq 0$ . The induction hypothesis may be stated that if  $m \in \mathcal{M}$  and  $mA(\mathcal{W}) = 0$  then  $m \in \mathcal{W}$ .

We see immediately that  $A(\mathcal{W})$  is right ideal of  $\mathcal{R}$ . In fact from the converse of the above statement since  $v \notin \mathcal{W}$ ,  $vA(\mathcal{W}) \neq \{0\}$ . We see that  $vA(\mathcal{W})$  is a submodule of  $\mathcal{M}$  that is a non-zero. By irreducibility we have that  $vA(\mathcal{W}) = \mathcal{M}$ .

For  $\mathcal{V} = \mathcal{W} + v\Delta$  we will choose a  $m' \in \mathcal{M}$  with  $m' \notin \mathcal{V}$ . By contradiction, suppose that for every  $r \in \mathcal{R}$ , if  $\mathcal{V}r = \{0\}$  then  $m'r = 0$ . We will show that this is not possible thus proving the theorem. Since  $vA(\mathcal{W}) = \mathcal{M}$ , for every  $x \in \mathcal{M}$  there is an  $a \in A(\mathcal{W})$  such that  $va = x$ . Consider the following map  $\beta : \mathcal{M} \rightarrow \mathcal{M}$ ,  $x \mapsto m'a$ , where  $x = va$ . It follows immediately that  $\beta$  is well-defined and is an endomorphism of  $\mathcal{M}$ . In addition for  $xr = (va)r = v(ar)$  we have,

$$(xr)\beta = m'(ar) = (m'a)r = (x)\beta r.$$

Hence  $\beta$  is a module homomorphism of  $\mathcal{M}$  which places it in  $\Delta$ . For  $a \in A(\mathcal{W})$ ,

$$m'a = (x)\beta = (va)\beta = (v)\beta a.$$

From this we have that  $m'a = (v)\beta a$  or equivalently,

$$(m' - (v)\beta)a = 0 \text{ for every } a \in A(\mathcal{W}).$$

Since  $(m' - (v)\beta)A(\mathcal{W}) = \{0\}$ , by the induction hypothesis  $m' - (v)\beta \in \mathcal{W}$ . But this leads us to conclude that  $m' \in \mathcal{W} + (v)\beta \subseteq \mathcal{W} + v\Delta = \mathcal{V}$ . This is a contradiction to the hypothesis that  $m' \notin \mathcal{V}$ . Thus for  $m \in \mathcal{M}, m \notin \mathcal{V}$  there exists an  $r \in \mathcal{R}$  such that  $\mathcal{V}r \neq \{0\}$  and  $mr \neq 0$ .  $\square$

**Theorem 3.4.** (*Density Theorem*). *Let  $\mathcal{R}$  be a primitive ring with a faithful irreducible module  $\mathcal{M}$ , then  $\mathcal{R}$  is dense on  $\mathcal{M}$ .*

*Proof.* Let  $v_1, \dots, v_n \in \mathcal{M}$  be linearly independent over  $\Delta$ , and let  $w_1, \dots, w_n \in \mathcal{M}$ . Denote by  $\mathcal{V}_i$  the linear span of  $v_j$  for  $j \neq i$ . Thus  $\mathcal{V}_1 = \text{span}(v_2, v_3, \dots, v_n)$ . From Lemma 3.3, since  $v_1 \in \mathcal{M}$  and  $v_1 \notin \mathcal{V}_1$  there exists a  $r_1 \in \mathcal{R}$  with  $\mathcal{V}_1 r_1 = \{0\}$  and  $v_1 r_1 \neq 0$ . From Proposition 2.14 we have that  $(v_1 r_1) \mathcal{R} = \mathcal{M}$ .

From the above there exists a  $s_1$  in  $\mathcal{R}$  such that  $w_1 = (v_1 r_1) s_1 = v_1 t_1$  ( $t_1 \in \mathcal{R}$ ). In addition  $\mathcal{V}_1 t_1 = \mathcal{V}_1 (r_1 s_1) = (\mathcal{V}_1 r_1) s_1 = \{0\}$ . This process may be conducted iteratively for each  $\mathcal{V}_2, \dots, \mathcal{V}_n$ . As a result for every  $v_i$  there is a  $t_i$  such that  $v_i t_i = w_i$  and  $\mathcal{V}_i t_i = \{0\}$ . Consider  $t = t_1 + t_2 + \dots + t_n$ , then from the fact that  $v_j \in \mathcal{V}_i$  for all  $j \neq i$  we have,

$$v_i t = v_i (t_1 + t_2 + \dots + t_n) = \sum_{j \neq i} v_i t_j + v_i t_i = w_i$$

exhibiting that  $\mathcal{R}$  acts densely on  $\mathcal{M}$ . □

**Theorem 3.5.** *Let  $\mathcal{R}$  be a primitive ring. Then for some division ring  $\Delta$  either,*

1.  $\mathcal{R} \cong \Delta_n$ , the ring of all  $n \times n$  matrices with entries in  $\Delta$ .
2. Given any integer  $k$  there exists a subring  $I_k$  of  $\mathcal{R}$  which maps homomorphically onto  $\Delta_k$ .

*Proof.*  $\mathcal{R}$  is primitive, thus by Theorem 3.4 it is dense on a vector space  $\mathcal{V}$  over some division ring  $\Delta$ . We will consider two cases:

Suppose  $\mathcal{V}$  is finite-dimensional over  $\Delta$  with  $\dim_{\Delta}(\mathcal{V}) = n$ . It will be proven that  $\mathcal{R}$  is isomorphic to the ring of all  $n \times n$  matrices over  $\Delta$ . Recall that we may define a ring homomorphism  $\mathcal{R} \rightarrow \text{End}_{\Delta}(\mathcal{V})$ ,  $r \mapsto S_r$  where for  $v \in \mathcal{V}$ ,  $r \in \mathcal{R}$  we have  $(v)S_r = vr$ . Note that  $\text{End}_{\Delta}(\mathcal{V}) = \text{Hom}_{\Delta}(\mathcal{V}, \mathcal{V})$ , the ring of all  $\Delta$ -linear maps from  $\mathcal{V} \rightarrow \mathcal{V}$ . Since every  $\Delta$  linear map (with respect to a given basis) is uniquely determined by a  $n \times n$  matrix with entries in  $\Delta$ , we see that  $\text{Hom}_{\Delta}(\mathcal{V}, \mathcal{V}) \cong \Delta_n$ . Our argument now reduces to proving  $\mathcal{R} \cong \text{End}_{\Delta}(\mathcal{V})$ . Let  $\{e_1, \dots, e_n\}$  be a basis of  $\mathcal{V}$  over  $\Delta$ . Then for  $f \in \text{End}_{\Delta}(\mathcal{V})$ ,  $(e_i)f = w_i$  ( $w_i \in \mathcal{V}$ ). But from density there exists an  $r$  such that

$$(e_i)S_r = e_i r = w_i$$

Since  $S_r$  and  $f$  agree on the generators of  $\mathcal{V}$ , they are equal. Hence  $r \mapsto S_r = f$ , which proves surjectivity.

In addition  $\mathcal{R}$  acts faithfully in  $\mathcal{V}$ . As a result for  $\mathcal{R} \rightarrow \text{End}_\Delta(\mathcal{V})$  is an injective mapping. From above we have proved that  $\mathcal{R} \cong \text{End}_\Delta(\mathcal{V})$ , thus it is isomorphic to  $\Delta_n$ .

Suppose  $\mathcal{V}$  is not finite-dimensional over  $\Delta$ , and let  $k$  be a positive integer. We will construct subring  $I_k \cong \Delta_k$ . Let  $v_1, \dots, v_k, \dots \in \mathcal{V}$  be a infinite linear independent set. Consider the finite-dimensional subspace  $\mathcal{Q} = v_1\Delta + v_2\Delta + \dots + v_k\Delta$ . In addition let  $I_k = \{r \in \mathcal{R} \mid \mathcal{Q}r \subseteq \mathcal{Q}\}$ . It then follows directly that  $I_k$  is a subring of  $\mathcal{R}$ . From density we may assert that  $I_k \rightarrow \text{End}_\Delta(\mathcal{Q})$ ,  $r \mapsto S_r$  is a surjective ring homomorphism. Thus  $I_k$  maps homomorphically onto  $\Delta_k$ .  $\square$

It will be beneficial for the reader to note that the linear independence of  $v_1, \dots, v_n \in \mathcal{V}$  is a necessary condition for the Density Theorem. Suppose  $v_1, \dots, v_n$  are linearly dependent and take  $w_1, \dots, w_n$  to be a linearly independent collection in  $\mathcal{V}$ . From Theorem 3.4 there is a  $t \in \mathcal{R}$  such that  $v_i t = w_i$   $i = 1, 2, \dots, n$ . Suppose that from the assumption of dependence,  $v_1$  can be written as

$$\begin{aligned} v_1 &= v_2\alpha_2 + \dots + v_n\alpha_n \quad (\alpha_n \in \Delta) \\ v_1 t &= (v_2\alpha_2)t + \dots + (v_n\alpha_n)t \\ w_1 &= (v_2 t)\alpha_2 + \dots + (v_n t)\alpha_n \\ &= w_2\alpha_2 + \dots + w_n\alpha_n. \end{aligned}$$

This would imply that  $w_1, \dots, w_n$  is a collection of linearly dependent elements over  $\Delta$  which is a contradiction. Thus the independence of  $v_1, \dots, v_n$  is a necessary condition for the Density Theorem.

## Chapter 4

# The Jacobson Radical

### 4.1 The Jacobson Radical

**Definition 4.1.** Let  $\mathcal{R}$  be a ring. The *radical of  $\mathcal{R}$* ,  $\mathcal{J}(\mathcal{R})$  is the collection of all ring elements  $r$  such that  $\mathcal{M}r = \{0\}$  for all irreducible  $\mathcal{R}$ -modules  $\mathcal{M}$ . If  $\mathcal{M}$  has no irreducible modules then  $\mathcal{J}(\mathcal{R}) = \mathcal{R}$ .

It directly follows from Definition 4.1 that  $\mathcal{J}(\mathcal{R})$  is a ideal of  $\mathcal{R}$ , and is equivalent to  $\mathcal{J}(\mathcal{R}) = \bigcap \text{Ann}(\mathcal{M})$  where the intersection runs across all irreducible  $\mathcal{R}$ -modules  $\mathcal{M}$ . We will defer to [Her05, p.13], in which it is proven that  $\mathcal{J}(\mathcal{R})$  when considering irreducible  $\mathcal{R}$ -modules is the same when considering irreducible left  $\mathcal{R}$ -modules. For a fixed  $\mathcal{R}$ , consider  $\Omega$  to be the non-empty collection of all irreducible  $\mathcal{R}$ -modules. If  $a \in \mathcal{J}(\mathcal{R})$  then for every  $\mathcal{M} \subseteq \Omega$ ,  $(\mathcal{M})S_a = \mathcal{M}a = \{0\}$ . This means that for every  $\mathcal{M} \subseteq \Omega$  the image of  $a$  under the representation determined by  $\mathcal{M}$  is the zero endomorphism.

### 4.2 Characterization of the Jacobson Radical

**Definition 4.2.** A right ideal  $F$  of  $\mathcal{R}$  is called *regular* if there exists a  $b \in \mathcal{R}$  such that for every  $x \in \mathcal{R}$ ,  $x - bx \in F$ .

**Example 4.3.** For a commutative ring  $\mathcal{R}$  containing unity, every ideal is regular.

**Example 4.4.** Let  $2\mathbb{Z}$  be the non-unital ring generated by the even integers. Then the

ideal in  $2\mathbb{Z}$ ,  $\langle 6 \rangle = \{6j \mid j \in 2\mathbb{Z}\}$  is regular. If  $b = 4$  then for  $x \in 2\mathbb{Z}$ ,

$$\begin{aligned} x - 4x &= 2q - 4(2q) \quad q \in \mathbb{Z} \\ &= -6q \in \langle 6 \rangle. \end{aligned}$$

**Example 4.5.** In the ring referenced in Example 4.4, the ideal  $\langle 4 \rangle = \{4j \mid j \in 2\mathbb{Z}\}$  is not regular. That is there does not exist a  $b \in 2\mathbb{Z}$  such that  $x - bx \in \langle 4 \rangle$  for every  $x \in 2\mathbb{Z}$ . To see this, take  $4 \in 2\mathbb{Z}$  then,

$$\begin{aligned} 4 - b(4) &= 4 - (2r)4 \quad r \in \mathbb{Z} \\ &= 4 - 8r \\ &= 4(1 - 2r) \notin \langle 4 \rangle. \end{aligned}$$

**Proposition 4.6.** *Let  $\mathcal{M}$  be an irreducible  $\mathcal{R}$ -module, then  $\mathcal{M} \cong \mathcal{R}/F$  for some maximal regular right ideal  $F$  of  $\mathcal{R}$ .*

*Proof.* Let  $\mathcal{Q} = \{q \in \mathcal{M} \mid q\mathcal{R} = \{0\}\}$ . We see that  $\mathcal{Q}$  is a submodule of  $\mathcal{M}$ . Since  $\mathcal{M}$  is irreducible,  $\mathcal{Q} = \{0\}$  or  $\mathcal{Q} = \mathcal{M}$ . If  $\mathcal{Q} = \mathcal{M}$ , then  $\mathcal{Q}\mathcal{R} = \{0\} = \mathcal{M}\mathcal{R}$ ; a contradiction on the irreducibility of  $\mathcal{M}$ . This forces  $\mathcal{Q} = \{0\}$ . Since  $\mathcal{M}\mathcal{R} \neq \{0\}$  there exists a non-zero element  $m$  such that  $m\mathcal{R} \neq \{0\}$ . From Proposition 2.14 we may conclude that  $m\mathcal{R} = \mathcal{M}$ .

Consider the mapping  $\psi: \mathcal{R} \rightarrow \mathcal{M}$  by  $(r)\psi = mr$ . We claim that  $\psi$  is a surjective module homomorphism from  $\mathcal{R}$  onto  $\mathcal{M}$ . For  $r_1, r_2 \in \mathcal{R}$  we have that ,

$$\begin{aligned} (r_1 + r_2)\psi &= m(r_1 + r_2) = mr_1 + mr_2 = (r_1)\psi + (r_2)\psi, \text{ and} \\ (r_1a)\psi &= m(r_1a) = (mr_1)a = (r_1)\psi a. \end{aligned}$$

This proves that  $\psi$  is a module homomorphism. To show that  $\psi$  is surjective, we recall that the direct image  $(\mathcal{R})\psi = m\mathcal{R} = \mathcal{M}$ . Denote the kernel of  $\psi$  as  $\text{Ker}(\psi) = F$ , then by the standard isomorphism theorem,

$$\begin{aligned} \mathcal{R}/\text{Ker}(\psi) &\cong (\mathcal{R})\psi \text{ which is equivalent to,} \\ \mathcal{R}/F &\cong \mathcal{M}. \end{aligned}$$

To see that  $F$  is maximal, suppose there is a right ideal  $I$  of  $\mathcal{R}$  such that  $F \subset I \subseteq \mathcal{R}$ . The image of  $I$  under  $\psi$  is a submodule of  $\mathcal{M}$ . By irreducibility of  $\mathcal{M}$ ,  $(I)\psi$  is equal to  $\{0\}$  or  $\mathcal{M}$ . If  $(I)\psi = \{0\}$ , then  $I \subseteq \text{Ker}(\psi) = F$  which results in  $I = F$ , which is a contradiction. If  $(I)\psi = \mathcal{M}$  then  $(I)\psi = (\mathcal{R})\psi$ . Let  $x \in \mathcal{R}$ , then there exists a  $i \in I$  such that  $(x)\psi = (i)\psi$  or equivalently,  $(x - i)\psi = 0$ . This places  $x - i \in \text{Ker}\psi = F$ . Thus  $(x - i) + i = x \in I$  and as a result  $I = \mathcal{R}$ . Since the only ideal that properly contains  $F$  is  $\mathcal{R}$ , we may conclude that  $F$  is maximal.

We will now prove the existence of a element  $b \in \mathcal{R}$  such that  $x - bx \in \mathcal{R}$  for every  $x \in \mathcal{R}$ . With  $m\mathcal{R} = \mathcal{M}$  there exists a  $b \in \mathcal{R}$  such that  $mb = m$ . For every  $x \in \mathcal{R}$  we have  $mx - (mb)x = 0$ . Since  $\mathcal{M}$  is an  $\mathcal{R}$ -module,  $m(x - bx) = 0$  which places  $x - bx \in F$ .  $\square$

**Proposition 4.7.** *Let  $F$  be a proper regular right ideal of  $\mathcal{R}$ , then it can be embedded in a maximal right ideal that is regular.*

*Proof.* Since  $F$  is regular there exists a  $b \in \mathcal{R}$  such that  $x - bx \in F$  for every  $x \in \mathcal{R}$ . If  $b \in F$  then as a right ideal  $bx \in F$ , which implies that  $(x - bx) + bx = x \in F$  thus  $F = \mathcal{R}$ . This is a contradiction, and we have  $b \notin F$ .

Let  $\mathcal{W}$  be a collection of proper ideals  $I_i$  that contain  $F$ . One can easily verify that  $\mathcal{W}$  is a po-set with respect to the relation of inclusion. Denote  $\mathcal{C} = \{I_i \mid I_i \subseteq \mathcal{W}\}$  to be a totally ordered subset of  $\mathcal{W}$ . Note that  $\bigcup_{\mathcal{C}} I_i$  serves as an upper bound for  $\mathcal{C}$ . This ideal is regular since  $x - bx \in F \subseteq \bigcup_{\mathcal{C}} I_i$ . It is important to note that  $b \notin \bigcup_{\mathcal{C}} I_i$ , otherwise this would lead to  $\bigcup_{\mathcal{C}} I_i = \mathcal{R}$  which is a contradiction. Since every totally ordered set of regular ideals that contain  $F$  has an upper bound, Zorn's Lemma may be applied. There then exists a maximal regular ideal of  $\mathcal{R}$  which clearly contains  $F$ .  $\square$

It is understood that a maximal regular right ideal of a ring  $\mathcal{R}$ , is a maximal right ideal of  $\mathcal{R}$  which is regular.

**Definition 4.8.** Let  $I$  be an ideal of  $\mathcal{R}$ , then  $(I : \mathcal{R}) = \{x \in \mathcal{R} \mid \mathcal{R}x \subseteq I\}$ .

**Proposition 4.9.**  $\mathcal{J}(\mathcal{R}) = \bigcap (F : \mathcal{R})$  where the intersection runs across all maximal regular right ideals of  $\mathcal{R}$ .

*Proof.* By definition,  $\mathcal{J}(\mathcal{R}) = \bigcap \text{Ann}(\mathcal{M})$  where the intersection runs across all irreducible  $\mathcal{R}$ -modules. It is then required for us to show that  $\text{Ann}(\mathcal{M}) = (F : \mathcal{R})$  for some

maximal regular right ideal  $F$ . From Proposition 4.6 every irreducible  $\mathcal{R}$ -module is isomorphic to  $\mathcal{R}/F$  for some maximal regular right ideal  $F$ . Let us denote  $\mathcal{M} = \mathcal{R}/F$ . If  $x \in \text{Ann}(\mathcal{M})$  then  $\mathcal{M}x = (r + F)x = F$  for every  $r \in \mathcal{R}$ . Thus  $rx \in F$  for every  $r \in \mathcal{R}$  which can be written as  $\mathcal{R}x \subseteq F$ . We see that  $x \in (F : \mathcal{R})$ , hence  $\text{Ann}(\mathcal{M}) \subseteq (F : \mathcal{R})$ .

To show the other inclusion let  $x \in (F : \mathcal{R})$ , then  $\mathcal{R}x \subseteq F$ . This implies that  $rx \in F$  for every  $r \in \mathcal{R}$  which is equivalent to  $(r + F)x = F$  for every  $r \in \mathcal{R}$ . This places  $x \in \text{Ann}(\mathcal{M})$ . We see that for every irreducible  $\mathcal{R}$ -module,  $\mathcal{M}$  that  $\text{Ann}(\mathcal{M}) = (F : \mathcal{R})$  for a maximal regular right ideal. The Jacobson radical of a ring  $\mathcal{R}$  is then  $\mathcal{J}(\mathcal{R}) = \bigcap \text{Ann}(\mathcal{M}) = \bigcap (F : \mathcal{R})$ .  $\square$

**Proposition 4.10.**  $\mathcal{J}(\mathcal{R})$  is the intersection of the maximal regular right ideals of  $\mathcal{R}$ .

*Proof.* Let  $F$  be a maximal regular right ideal of  $\mathcal{R}$  and let  $b \in \mathcal{R}$  such that  $x - bx \in F$  for every  $x \in \mathcal{R}$ . Then for any  $r \in (F : \mathcal{R})$  we have  $(r - br) + br = r \in F$  thus  $(F : \mathcal{R}) \subseteq F$ , for every maximal regular right ideal  $F$  of  $\mathcal{R}$ . Intersecting over all maximal regular right ideals gives us  $\bigcap (F : \mathcal{R}) \subseteq \bigcap F$ . From Proposition 4.9 this results in  $\mathcal{J}(\mathcal{R}) \subseteq \bigcap F$ .

For the other inclusion let  $x \in \bigcap F$ . We will first construct a regular right ideal  $\mathcal{R}' = \{xu + u \mid u \in \mathcal{R}\}$  ( $x = -b$ ) that is equal to  $\mathcal{R}$ . If  $\mathcal{R}' \neq \mathcal{R}$  then by Proposition 4.7  $\mathcal{R}' \subseteq F'$  for some proper maximal regular right ideal  $F'$  of  $\mathcal{R}$ . Since  $x \in \bigcap F$  we see that  $x \in F'$ . As a right ideal of  $\mathcal{R}$ ,  $xu \in F'$ . Since  $\mathcal{R}' \subseteq F'$ ,  $xu + u \in F'$  thus  $(xu + u) - xu = u \in F'$  for every  $u \in \mathcal{R}$ , implying that  $F' = \mathcal{R}$ . The proper ideal  $\mathcal{R}'$  cannot be embedded in a maximal regular right ideal. A contraction, thus  $\mathcal{R}' = \mathcal{R}$ . From this equality, there exists a  $b' \in \mathcal{R}$  such that  $xb' + b' = -x$  or  $x + xb' + b' = 0$ .

If  $\bigcap F \subseteq \mathcal{J}(\mathcal{R})$  then  $\mathcal{J}(\mathcal{R}) = \bigcap F$  and we are done. Suppose that  $\bigcap F \not\subseteq \mathcal{J}(\mathcal{R})$ , then there exists an irreducible  $\mathcal{R}$ -module  $\mathcal{M}$  such that  $\mathcal{M}(\bigcap F) \neq \{0\}$ . There then is a non-zero  $m \in \mathcal{M}$  with  $m(\bigcap F) \neq \{0\}$ . It follows from Proposition 2.14 that the submodule,  $m(\bigcap F) = \mathcal{M}$ . From this there exists a  $t \in \bigcap F$  such that  $mt = -m$ . It was established earlier that for  $t \in \bigcap F$  that  $\{tu + u \mid u \in \mathcal{R}\} = \mathcal{R}$ , which implies  $t + tv + v = 0$ . With these two relations we have  $0 = -m(0) = -m(t + tv + v) = -[mt + m(tv) + mv] = -(-m - mv + mv) = m$ , which is a contradiction under the assumption that  $m \neq 0$ . Thus the hypothesis  $\mathcal{M}(\bigcap F) \neq \{0\}$  is invalid. As a result  $\bigcap F$  annihilates all irreducible  $\mathcal{R}$ -modules. From this we may conclude that  $\bigcap F \subseteq \mathcal{J}(\mathcal{R})$ .  $\square$

**Definition 4.11.** An element  $x \in \mathcal{R}$  is *right-quasi-regular* if there exists a  $b \in \mathcal{R}$  such

that  $x + xb + b = 0$ . Furthermore, a right ideal,  $\mathcal{I}$  is a right-quasi-regular ideal if every element in  $\mathcal{I}$  is right-quasi-regular.

**Corollary 4.12.**  $\mathcal{J}(\mathcal{R})$  is a right-quasi-regular ideal of  $\mathcal{R}$ .

**Corollary 4.13.**  $\mathcal{J}(\mathcal{R})$  contains all right-quasi-regular ideals of  $\mathcal{R}$ .

**Proposition 4.14.** Let  $\mathcal{R}$  be commutative ring with unity. If the non units of  $\mathcal{R}$  form an ideal  $\mathcal{I}$ , then  $\mathcal{J}(\mathcal{R}) = \mathcal{I}$ .

*Proof.* First we will show that  $\mathcal{I} \subseteq \mathcal{J}(\mathcal{R})$ . Suppose  $x \in \mathcal{I}$ . If  $1 + x$  is not a unit then we would have  $(1 + x) - x = 1 \in \mathcal{I}$ . This is a contradiction that every element of  $\mathcal{I}$  is a non-unit. From this we see that  $1 + x$  is a unit and there exists  $b \in \mathcal{R}$  such that

$$\begin{aligned} (1 + x)b &= -1 \\ b + xb &= -1 \\ -bx - x(bx) &= x \\ x + x(bx) + bx &= 0. \end{aligned}$$

Which proves that  $\mathcal{I}$  is right-quasi-regular ideal. From Corollary 4.13,  $\mathcal{I} \subseteq \mathcal{J}(\mathcal{R})$ . Conversely if  $x \in \mathcal{J}(\mathcal{R})$  and if  $x$  is a unit then  $-1 \in \mathcal{J}(\mathcal{R})$ . Since  $\mathcal{J}(\mathcal{R})$  is a right-quasi-regular ideal for some  $b$  we have

$$\begin{aligned} -1 + (-1)b + b &= 0 \\ -1 - b + b &= 0 \\ 1 &= 0 \end{aligned}$$

which is a contradiction. We see that  $x$  is not a unit thus  $\mathcal{J}(\mathcal{R}) = \mathcal{I}$ . □

From this proposition we have the following example of the Jacobson radical of a ring.

**Example 4.15.**  $\mathbb{R}[[x]]$  is the ring of the formal power series in one indeterminate with coefficients in  $\mathbb{R}$ . The non-units of  $\mathbb{R}[[x]]$  form an ideal and its elements are the polynomials of  $\mathbb{R}[[x]]$  with zero constant term (easy check). We see that  $\mathcal{J}(\mathbb{R}[[x]]) = \langle x \rangle = \{xf(x) \mid f(x) \in \mathbb{R}[[x]]\}$ .

### 4.3 Algebras

**Definition 4.16.** Let  $\mathcal{A}$  be a ring and let  $\mathcal{F}$  be a field. Then  $\mathcal{A}$  is an *algebra* over  $\mathcal{F}$  if,

1.  $\mathcal{A}$  is an  $\mathcal{F}$ -module with the action written as  $(x, \alpha) \mapsto \alpha x$ .
2. For every  $\alpha \in \mathcal{F}$  and  $x, y \in \mathcal{A}$ ,

$$\alpha(xy) = (x\alpha)y = x(\alpha y).$$

**Definition 4.17.** A *right ideal*  $\mathcal{I}$  of an algebra  $\mathcal{A}$  over a field  $\mathcal{F}$  is a linear subspace which for every  $a \in \mathcal{A}$ ,  $x \in \mathcal{I}$  then  $xa \in \mathcal{I}$ .

**Definition 4.18.** A set  $S$  is a *subalgebra* of  $\mathcal{A}$  over  $\mathcal{F}$  if  $S$  is both a subring and a submodule of  $\mathcal{A}$ .

**Proposition 4.19.** *Let  $\mathcal{A}$  be an algebra over a field  $\mathcal{F}$  then every maximal regular right ideal of  $\mathcal{A}$  as a ring is a maximal regular right ideal of  $\mathcal{A}$  as an algebra.*

*Proof.* Suppose  $F$  is a maximal regular right ideal in  $\mathcal{A}$  as a ring. By definition there is a  $b \in \mathcal{A}$  with  $x - bx \in F$  for every  $x \in \mathcal{A}$ . Note that for  $\alpha \in \mathcal{F}$ ,  $\alpha F$  is a right ideal of  $\mathcal{A}$ .

If  $\alpha F \not\subseteq F$ , then  $\alpha F + F$  is a right ideal of  $\mathcal{A}$  which properly contains  $F$ . From the maximality of  $F$  we have  $\alpha F + F = \mathcal{A}$ . The element  $b \in \mathcal{A}$  may be expressed as

$$\begin{aligned} b &= x_1 + \alpha x_2 \quad (x_1, x_2 \in F) \\ b^2 &= (x_1 + \alpha x_2)b \\ &= x_1 b + x_2(\alpha b). \end{aligned}$$

From this we see that  $b^2 \in F$ . From the definition of  $F$  being a regular right ideal,  $b - b^2 \in F$ . This results in  $(b - b^2) + b^2 = b \in F$ . With this element in  $F$  it follows that  $F = \mathcal{A}$ , which is a contradiction of the maximality of  $F$ . Therefore  $\alpha F \subseteq F$  for every  $\alpha \in \mathcal{F}$ . Thus  $F$  is a subspace of  $\mathcal{A}$  over  $\mathcal{F}$  and is a regular right ideal of  $\mathcal{A}$  as an algebra. Since any ideal that contains  $F$  is an ideal of  $\mathcal{A}$  as a ring, we see that  $F$  must be a maximal regular right ideal of  $\mathcal{A}$  as an algebra.

Suppose  $F$  is a maximal regular right ideal of  $\mathcal{A}$  as an algebra. It immediately follows that  $F$  is a regular right ideal of  $\mathcal{A}$  as a ring. By Proposition 4.7,  $F$  may be

embedded in a maximal regular right ideal  $F'$ . From above,  $F'$  is a maximal regular right ideal of  $\mathcal{A}$  as a algebra. Since  $F'$  is maximal  $F = F'$ . Thus  $F$  is a maximal regular right ideal of  $\mathcal{A}$  as a ring.  $\square$

**Corollary 4.20.** *Let  $\mathcal{A}$  be an algebra, then  $\mathcal{J}(\mathcal{A}) = \bigcap F$  where the intersection runs across all maximal regular right ideals of  $\mathcal{A}$  as an algebra.*

**Definition 4.21.** An algebra  $\mathcal{A}$  is called *algebraic* if for every  $a \in \mathcal{A}$  the subalgebra generated by  $a$  is finite-dimensional. That is there exists a  $n$  (dependent on  $a$ ) and  $\alpha_i \in \mathcal{F}$  such that  $a^n + \alpha_{n-1}a^{n-1} + \dots + \alpha_0 = 0$ . The least such  $n$  is the *degree* of  $a$ . Furthermore an algebraic algebra is *bounded of degree  $n$*  if every  $a \in \mathcal{A}$  has degree  $n$ .

**Definition 4.22.** An element  $a$  is *nilpotent* if there exists an integer  $n > 0$  such that  $a^n = 0$ . An ideal  $\mathcal{I}$  is *nil* if each of its elements is nilpotent.

**Proposition 4.23.** *If  $\mathcal{A}$  be an algebraic algebra, then  $\mathcal{J}(\mathcal{A})$  is nil.*

*Proof.* Since  $\mathcal{J}(\mathcal{A})$  is a subset of  $\mathcal{A}$ , every element of  $\mathcal{J}(\mathcal{A})$  is algebraic. Let  $a \in \mathcal{J}(\mathcal{A})$ , then let  $\mathcal{U}$  be the subalgebra generated by  $a$ . This finite-dimensional subalgebra  $\mathcal{U}$  consists of the elements  $\sum_{i=1}^n \alpha_i a^i$  ( $\alpha_i \in \mathcal{F}$ ). In addition  $\mathcal{U} \supseteq a\mathcal{U}$ , since for  $au \in a\mathcal{U}$ ,  $au = a \sum_{i=1}^n \alpha_i a^i = \sum_{i=2}^n \alpha_{i-1} a^i \in \mathcal{U}$ . In general we have a descending chain condition where for  $k = 0, 1, \dots$  we have  $a^k \mathcal{U} \supseteq a^{k+1} \mathcal{U}$ . Since  $\mathcal{U}$  is finite dimensional  $a^k \mathcal{U} = a^{k+1} \mathcal{U}$  for some  $k$ . As a result  $a^{k+1} \in a^k \mathcal{U} = a^{k+1} \mathcal{U}$  so there exists a  $b \in \mathcal{U}$  such that  $a^{k+1} = a^{k+1}b$  or equivalently,  $a^{k+1} - a^{k+1}b = 0$ . Since  $b \in \mathcal{J}(\mathcal{A})$ , there exists a right-quasi inverse  $b'$  such that  $b + b' - bb' = 0$ . We can now see that  $a$  is nilpotent since,

$$\begin{aligned}
 a^{k+1} &= a^{k+1} - a^{k+1}(b + b' - bb') \\
 &= a^{k+1} - a^{k+1}b - a^{k+1}b' + a^{k+1}bb' \\
 &= -a^{k+1}b' + a^{k+1}bb' \\
 &= 0.
 \end{aligned}$$

Therefore  $\mathcal{J}(\mathcal{A})$  is nil.  $\square$

## 4.4 Properties of Rings with no Nilpotent Elements

**Definition 4.24.** An element  $u$  of an algebra  $\mathcal{A}$  is *idempotent* if  $u^2 = u$ .

**Lemma 4.25.** *Suppose  $\mathcal{R}$  is a ring with no nilpotent elements, then all idempotent elements of  $\mathcal{R}$  lie in its center,  $\mathcal{Z}(\mathcal{R})$ .*

*Proof.* Let  $u$  be a idempotent element of  $\mathcal{R}$ . Then for every  $r \in \mathcal{R}$  we have,

$$(ur - uru)^2 = urur - ururu - uru^2r + uru^2ru = 0.$$

Similarly,

$$(ru - uru)^2 = 0.$$

Since  $\mathcal{R}$  contains no nilpotent elements,  $ur - uru = 0$ ,  $ru - uru = 0$ . This leaves us with  $ur = uru = ru$ , hence  $ur = ru$ . We have proven that  $u \in \mathcal{Z}(\mathcal{R})$ .  $\square$

**Proposition 4.26.** *Let  $\mathcal{A}$  be an algebraic algebra that contains no nilpotent elements. Let  $\mathcal{I}$  be an ideal and  $F \subseteq \mathcal{I}$  a finite subset. Then there exists an idempotent of  $\mathcal{A}$  that acts as unity on  $F$ .*

*Proof.* Suppose that  $F = \{a_1, \dots, a_k\}$ . Let  $a_1 \neq 0$  be a non-invertible element in  $F$ . As an element of  $\mathcal{A}$  it satisfies a polynomial relation

$$a_1^m + \alpha_1 a_1^{m-1} + \dots + \alpha_n a_1^{m-n} = 0, \quad (\alpha_i \in \mathcal{F})$$

where  $m - n > 0$ . Note that,

$$a_1(a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n) = (a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n)a_1.$$

From this fact we see that

$$\begin{aligned} & [a_1(a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n)]^{m-n} \\ &= (a_1^m + \alpha_1 a_1^{m-1} + \dots + \alpha_n a_1^{m-n})(a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n)^{m-n-1} \\ &= 0. \end{aligned}$$

It follows from the hypothesis that  $F$  contains no nilpotent elements thus,  $a_1(a_1^n + \alpha_1 a_1^{n-1} + \dots + \alpha_n) = 0$ . Next, we will construct a specific idempotent  $u_1$  from this polynomial with the property that  $a_1 u_1 = a_1$ . We have  $0 = a_1^{n+1} + \alpha_1 a_1^n + \dots + \alpha_n a_1$ . Rewriting this relation

we have  $-\alpha_n a_1 = a_1^{n+1} + \alpha_1 a^n + \cdots + \alpha_{n-(j-1)} a^j$ ,  $j \geq 2$ . Factoring  $a^2$  on the right leaves us with,  $a_1 = a_1^2 p(a_1)$ , which we will denote as  $a_1 = a_1 u_1$ . Where  $u_1 = a_1 p(a_1)$ .

Observe that  $u_1^2 = a_1^2 p^2(a_1) = a_1 p(a_1) = u_1$ . Since  $a_1$  is non-invertible nor 0,  $u_1 \neq 0, 1$ . In general for a given non-invertible, non-zero element  $a_i \in F$  a specific idempotent element  $u_i$  exists with  $a_i = a_i u_i$ . Note that the idempotent  $u_i \in F$  is constructed from the polynomial that  $a_i$  satisfies. Induction will be used to show that there is an idempotent  $u$  such that  $a_i u = a_i$  for all  $a_i \in F$ .

From the previous paragraph there exists a  $u_1$  such that  $a_1 u_1 = a_1$ . Next, suppose that  $a_2 u_1 = a_2, a_3 u_1 = a_3, \dots, a_{k-1} u_1 = a_{k-1}$ . If  $a_k u_1 = a_k$ , we may take  $u = u_1$  and we are done. If  $a_k u_1 \neq a_k$ , from Lemma 4.25 all idempotent elements of  $\mathcal{I}$  lie in  $\mathcal{Z}(\mathcal{I})$ . Then by using the idempotent  $u_k$  we have  $(a_k - a_k u_1) u_k = a_k - a_k u_1$ . From this we see that,  $a_k u_k - a_k u_1 u_k = a_k - a_k u_1$ , and we may rearrange this relation to get  $a_k = a_k u_k - a_k u_1 u_k + a_k u_1$ . Factoring an  $a_k$ , we have,

$$a_k = a_k(u_k - u_1 u_k + u_1).$$

Let  $u = u_k - u_1 u_k + u_1$ . It can be easily verified that  $u^2 = u$ . Furthermore for  $i = 1, 2, \dots, k-1$ , we see that  $a_i u = a_i(u_k - u_1 u_k + u_1) = a_i u_k - a_i u_1 u_k + a_i u_1 = a_i$ . Thus an idempotent  $u \in F$  has been constructed such that for every  $a \in F$ ,  $au = a$ .  $\square$

## 4.5 Free Algebra

**Definition 4.27.** A set  $M$  is a *monoid* if there exists a binary operation  $(a, b) \mapsto a \cdot b$  called multiplication which satisfies the following for every  $a, b, c \in M$ .

1.  $(a \cdot b) \cdot c = a \cdot (b \cdot c)$
2. There exists  $1 \in M$  with  $1 \cdot a = a \cdot 1 = a$ .

Consider the set  $\mathcal{X} = \{x_1, \dots, x_n\}$ , then the **free monoid** generated by  $\mathcal{X}$  is a monoid whose elements consists of all finite sequences of  $\mathcal{X}$ . That is the set consisting of 1 and elements which are written as,

$$x_{i_1} x_{i_2} \cdots x_{i_m}.$$

These elements are called **monomials**. Multiplication is defined as

$$(x_{t_1} x_{t_2} \cdots x_{t_j})(x_{q_1} x_{q_2} \cdots x_{q_k}) = x_{t_1} x_{t_2} \cdots x_{t_j} x_{q_1} x_{q_2} \cdots x_{q_k}.$$

In addition,  $x_{t_1}x_{t_2}\cdots x_{t_j} = x_{q_1}x_{q_2}\cdots x_{q_k}$  if and only if  $t_1 = q_1, t_2 = q_2, \dots, t_j = q_k$ .

Let  $\mathcal{F}$  be a field, then  $\mathcal{F}\langle x_1, \dots, x_n \rangle$  is the **free algebra** generated by the non-commuting variables  $x_1, \dots, x_n$ . This algebra is spanned by all sums of products of the indeterminates  $x_1, \dots, x_n$ . From the previous explanation of the free monoid on  $M$ , elements of  $\mathcal{F}\langle x_1, \dots, x_n \rangle$  may be expressed as a finite sum

$$f = \sum \alpha_{(i_1, i_2, \dots, i_m)} x_{i_1}^{\sigma(i_1)} x_{i_2}^{\sigma(i_2)} \cdots x_{i_m}^{\sigma(i_m)} \quad \sigma(i_k) \in \mathbb{Z}^+.$$

The **degree** of each monomial occurring in  $f$ ,  $x_{i_1}^{\sigma(i_1)} x_{i_2}^{\sigma(i_2)} \cdots x_{i_m}^{\sigma(i_m)}$  is the sum  $\sigma(i_1) + \sigma(i_2) + \cdots + \sigma(i_m)$ . The degree of  $f$  is the greatest degree of all of the monomials occurring in  $f$ . In most cases  $f \in \mathcal{F}\langle x_1, \dots, x_n \rangle$  will be expressed as  $f(x_1, \dots, x_n)$ .

**Definition 4.28.**  $f \in \mathcal{F}\langle x_1, \dots, x_n \rangle$  is *multilinear* if for  $k = 1, 2, \dots, n$  and for every  $\alpha \in \mathcal{F}$ ,

1.  $f(x_1, x_2, \dots, \overbrace{x + x'}^{kth}, \dots, x_n) = f(x_1, x_2, \dots, \overbrace{x}^{kth}, \dots, x_n) + f(x_1, x_2, \dots, \overbrace{x'}^{kth}, \dots, x_n)$
2.  $f(x_1, x_2, \dots, \overbrace{\alpha x}^{kth}, \dots, x_n) = \alpha f(x_1, x_2, \dots, \overbrace{x}^{kth}, \dots, x_n)$

As a consequence  $f$  is of the form,  $f = \sum \alpha_{(i_1, i_2, \dots, i_n)} x_{i_1} x_{i_2} x_{i_3} \cdots x_{i_n}$  ( $\alpha \in \mathcal{F}$ ), where the monomials  $x_{i_1} x_{i_2} \cdots x_{i_n}$  in the summation range over some permutations of  $x_1, x_2, \dots, x_n$ .

**Example 4.29.** In  $\mathcal{F}\langle x_1, \dots, x_n \rangle$ ,  $f(x_1, x_2, x_3) = \alpha x_1 x_2 x_3 - \beta x_2 x_1 x_3$  ( $\alpha, \beta \in \mathcal{F}$ ) is a multi-linear polynomial. While  $g(x_1, x_2, x_3) = \gamma x_1^2 x_2 x_3 - \delta x_2^3 x_1 x_3^2$  ( $\gamma, \delta \in \mathcal{F}$ ) is not.

## Chapter 5

# Kaplansky's Theorem

### 5.1 Polynomial Identities

**Definition 5.1.** Let  $\mathcal{A}$  be an algebra. Then  $\mathcal{A}$  satisfies a *polynomial identity* (P.I.) if there exists some  $f(x_1, \dots, x_d) \in \mathcal{F}\langle x_1, \dots, x_d \rangle$ , such that  $f(a_1, \dots, a_d) = 0$  for every  $a_1, \dots, a_d \in \mathcal{A}$ .

**Example 5.2.** If  $\mathcal{A}$  is a commutative algebra then it satisfies the polynomial identity  $f(x_1, x_2) = x_1x_2 - x_2x_1$ .

**Example 5.3.** Let  $\mathcal{F}_2$  be the algebra of  $2 \times 2$  matrices with entries in field  $\mathcal{F}$ . Then  $\mathcal{F}_2$  satisfies the polynomial identity  $f(x, y, z) = z(xy - yx)^2 - (xy - yx)^2z$ .

**Example 5.4.** Let  $\mathcal{F}$  be field such that  $3x = 0$  for every  $x \in \mathcal{F}$ . Then  $\mathcal{F}\langle x_1, x_2 \mid x_1x_2 - x_2x_1 = 1 \rangle$  satisfies the polynomial identity  $f(x, y) = (xy + yx)^2 + 2xyxy + xy + 1$ . This is a variation of the **Weyl algebra** where the characteristic of  $\mathcal{F}$  is 3.

**Lemma 5.5.** *Let  $f \neq 0$  be in  $\mathcal{F}\langle x_1, \dots, x_n \rangle$  then there is a positive integer  $m$  such that  $\mathcal{F}_m$  does not satisfy  $f$ .*

*Proof.* Let  $f$  be of degree  $t$ . Consider  $\mathcal{Q}$  to be the ideal of  $\mathcal{F}\langle x_1, \dots, x_n \rangle$  generated by the monomials in  $x_1, \dots, x_n$  of degree greater than  $t$ . As a result the algebra,  $\mathcal{A} = \mathcal{F}\langle x_1, \dots, x_n \rangle / \mathcal{Q}$  is spanned by the representatives that are contained in subspace consisting of all monomials of degree no greater than  $t$ . Since  $\mathcal{A}$  is finite-dimensional over  $\mathcal{F}$  it may be represented as a subalgebra of  $\mathcal{F}_m$  ( $m = \text{Dim}_{\mathcal{F}} \mathcal{A}$ ), where  $\mathcal{F} \cong \text{End}_{\mathcal{F}}(\mathcal{A})$ . Let

$\bar{f}$  be the image of  $f$  under the map  $\mathcal{F}\langle x_1, \dots, x_n \rangle \rightarrow \mathcal{F}\langle x_1, \dots, x_n \rangle / \mathcal{Q}$ . Since  $f$  is of degree  $t$  it is not contained in  $\mathcal{Q}$ , thus  $\bar{f}$  is not zero. Since  $1 \in \mathcal{A}$  the representation of  $\bar{f}$  in  $\mathcal{F}_m$  not zero as well. There then exists matrices  $a_1, \dots, a_n \in \mathcal{F}_m$  with  $f(a_1, \dots, a_n) \neq 0$ . This establishes the lemma.  $\square$

**Proposition 5.6.** *Let  $\mathcal{A}$  be an algebra that satisfies a polynomial identity  $f$  of degree  $d$ . Then  $\mathcal{A}$  also satisfies a multilinear identity of degree  $\leq d$ .*

*Proof.* We will defer to [Her05, p.157] for the proof of this proposition.  $\square$

We will demonstrate the following process as described in Proposition 5.6. Let  $f(x_1, x_2, x_3) = x_1^2 x_3 x_2 - x_2 x_3^2$  be a polynomial identity of degree 4. We will now construct a multilinear polynomial identity from  $f$ . By letting  $h(x_1, x_2, x_3, x_4) = f(x_1 + x_4, x_2, x_3) - f(x_1, x_2, x_3) - f(x_4, x_2, x_3)$  it directly follows that  $\mathcal{A}$  satisfies  $h$ . The calculation of  $h$  gives us,

$$\begin{aligned} h(x_1, x_2, x_3, x_4) &= (x_1 + x_4)^2 x_3 x_2 - x_2 x_3^2 - (x_1^2 x_3 x_2 + x_2 x_3^2) - (x_4^2 x_3 x_2 + x_2 x_3^2) \\ &= (x_1 x_4 + x_4 x_1) x_3 x_2 - 3x_2 x_3^2 \\ &= x_1 x_4 x_3 x_2 + x_4 x_1 x_3 x_2 - 3x_2 x_3^2 \end{aligned}$$

which produces an identity that is linear in  $x_1$ . By applying the same iterative process to  $x_3$  we have,  $g(x_1, x_2, x_3, x_4, x_5) = h(x_1, x_2, x_3 + x_5, x_4) - h(x_1, x_2, x_3, x_4) - h(x_1, x_2, x_5, x_4)$ . Simplifying this gives us,

$$\begin{aligned} g(x_1, x_2, x_3, x_4, x_5) &= x_1 x_4 (x_3 + x_5) x_2 + x_4 x_1 (x_3 + x_5) x_2 - 3x_2 (x_3 + x_5)^2 \\ &\quad - (x_1 x_4 x_3 x_2 + x_4 x_1 x_3 x_2 - 3x_2 x_3^2) \\ &\quad - (x_1 x_4 x_5 x_2 + x_4 x_1 x_5 x_2 - 3x_2 x_5^2) \\ &= -3x_2 x_3 x_5 - 3x_2 x_5 x_3. \end{aligned}$$

We see that  $g(x_1, x_2, x_3, x_4, x_5)$  is a polynomial identity of degree 3 that is multilinear. Since any algebra homomorphism preserves both products and sums we have the following result.

**Proposition 5.7.** *Let  $\mathcal{A}$  be a algebra that satisfies a polynomial identity  $f$ . If  $\Phi$  is an algebra homomorphism  $\Phi : \mathcal{A} \rightarrow \mathcal{B}$ , then  $\Phi(\mathcal{A})$  satisfies the same identity.*

## 5.2 Tensor Product

**Definition 5.8.** Let  $E$  be a field, then  $E$  is a *field extension* of  $\mathcal{F}$  if  $\mathcal{F} \subseteq E$  and if  $\mathcal{F}$  is a field with respect to the operations of  $E$  restricted to  $\mathcal{F}$ .

**Definition 5.9.** Let  $\mathcal{A}$  be an algebra over field  $\mathcal{F}$  with a field extension  $E$ . Then the *tensor product* of  $\mathcal{A}$  and  $E$  over  $\mathcal{F}$ , written as  $\mathcal{A} \otimes_{\mathcal{F}} E$  is an algebra constructed by “extending the base field to  $E$ .” If  $\{a_i\}$  is an  $\mathcal{F}$  basis of  $\mathcal{A}$  then  $\{a_i \otimes 1\}$  is an  $E$  basis of  $\mathcal{A} \otimes_{\mathcal{F}} E$ . The elements of  $\mathcal{A} \otimes_{\mathcal{F}} E$  are expressed as a finite sum of  $\alpha(a \otimes e)$  for  $a \in \mathcal{A}$ ,  $e \in E$ ,  $\alpha \in \mathcal{F}$  and which satisfies the following properties

1.  $(a_1 \otimes e_1)(a_2 \otimes e_2) = a_1 a_2 \otimes e_1 e_2$
2.  $(a_1 + a_2) \otimes e = a_1 \otimes e + a_2 \otimes e$
3.  $a \otimes (e_1 + e_2) = a \otimes e_1 + a \otimes e_2$
4.  $\alpha(a \otimes e) = \alpha a \otimes e = a \otimes \alpha e$
5.  $0 \otimes e = a \otimes 0 = 0$ .

It follows from the above properties that the tensor product is bi-linear and that  $\text{Dim}_E(\mathcal{A} \otimes_{\mathcal{F}} E) = \text{Dim}_{\mathcal{F}} \mathcal{A}$ . We will refer the reader to [Jac09, p.215 – 220], [Hun74, p.207 – 216], for further details on the construction of this algebra.

**Lemma 5.10.** *If  $\mathcal{A}$  satisfies a multilinear polynomial identity  $f$  then for any extension field  $E$  of field  $\mathcal{F}$ ,  $\mathcal{A} \otimes_{\mathcal{F}} E$  satisfies  $f$ .*

We will demonstrate a particular example of Lemma 5.10 where  $\mathcal{A}$  is commutative. Note that  $\mathcal{A}$  satisfies the identity  $f(x_1, x_2) = x_1 x_2 - x_2 x_1$ . Then for the tensor product  $\mathcal{A} \otimes_{\mathcal{F}} E$  we have

$$\begin{aligned}
 f(a_1 \otimes e_1, a_2 \otimes e_2) &= (a_1 \otimes e_1)(a_2 \otimes e_2) - (a_2 \otimes e_2)(a_1 \otimes e_1) \\
 &= a_1 a_2 \otimes e_1 e_2 - a_2 a_1 \otimes e_2 e_1 \\
 &= a_1 a_2 \otimes e_1 e_2 - a_2 a_1 \otimes e_1 e_2 \\
 &= (a_1 a_2 - a_2 a_1) \otimes e_1 e_2 \\
 &= 0 \otimes e_1 e_2 \\
 &= 0.
 \end{aligned}$$

This shows that  $\mathcal{A} \otimes_{\mathcal{F}} E$  satisfies  $f$ .

### 5.3 Kaplansky's Theorem

**Definition 5.11.** Let  $\mathcal{R}_n$  be the ring of  $n \times n$  matrices with entries in a commutative unital ring  $\mathcal{R}$ . Then  $\mathcal{Z}(\mathcal{R}_n)$  is the subring of  $\mathcal{R}_n$  consisting of all diagonal matrices of the form  $r(1_n)$  ( $r \in \mathcal{R}$ ), where  $1_n$  is the  $n \times n$  identity matrix of  $\mathcal{R}_n$ .

**Theorem 5.12.** Let  $\mathcal{R}$  be a ring with unity. Then  $\mathcal{Z}(\mathcal{R}_n) = \mathcal{Z}(\mathcal{R})(1_n)$ .

*Proof.* Since an arbitrary element in  $\mathcal{Z}(\mathcal{R})(1_n)$  may be written as  $z(1_n)$  for some  $z \in \mathcal{Z}(\mathcal{R})$ , it follows directly from the definition of the center that it lies in  $\mathcal{Z}(\mathcal{R}_n)$ . For  $X \in \mathcal{R}_n$  we see that

$$X(z1_n) = (Xz)1_n = (zX)1_n = z(X1_n) = zX = (z1_n)X$$

thus  $\mathcal{Z}(\mathcal{R})(1_n) \subseteq \mathcal{Z}(\mathcal{R}_n)$ . For the other inclusion, let  $Y \in \mathcal{Z}(\mathcal{R}_n)$ . We will denote the entries of  $Y$  as  $z_{kl}$  for  $k, l = 1, 2, \dots, n$ . Let  $1_{ij}$  denote the  $n \times n$  matrix with 1 in the  $i^{\text{th}}$  row and  $j^{\text{th}}$  column and all remaining entries 0. Since  $Y$  commutes with every element of  $\mathcal{R}_n$ ,  $Y(1_{ii}) = (1_{ii})Y$ . From this we have the following equivalent  $i^{\text{th}}$  rows and columns that may be compared.

$$\begin{array}{c} \begin{matrix} & & & i^{\text{th}} \text{ column} & & & \\ \begin{pmatrix} 0 & \dots & \dots & \dots & \dots & 0 \\ \vdots & \dots & \dots & \dots & \dots & \vdots \\ 0 & \dots & \dots & \dots & \dots & 0 \\ z_{i1} & z_{i2} & \dots & z_{ii} & \dots & z_{in} \\ 0 & \dots & \dots & \dots & \dots & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & \dots & \dots & \dots & 0 \end{pmatrix} & = & \begin{matrix} & & & i^{\text{th}} \text{ column} & & & \\ \begin{pmatrix} 0 & \dots & 0 & z_{1i} & 0 & \dots & 0 \\ \vdots & \dots & \vdots & z_{2i} & \vdots & \dots & \vdots \\ \vdots & \dots & \vdots & \vdots & \vdots & \dots & \vdots \\ \vdots & \dots & \vdots & z_{ii} & \vdots & \dots & \vdots \\ \vdots & \dots & \vdots & \vdots & \vdots & \dots & \vdots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & \dots & 0 & z_{ni} & 0 & \dots & 0 \end{pmatrix} & & \end{matrix} \end{array}$$

By equating the entries of each matrix we have  $z_{ik} = z_{ki} = 0$  for  $i \neq k$ . From repeating this process and equating  $Y(1_{kk}) = (1_{kk})Y$  for  $k = 1, 2, \dots, n$  we may conclude that  $z_{kl} = 0$  for every  $k \neq l$ . We have shown that all non-diagonal entries of  $Y$



**Lemma 5.16.** *Let  $\Delta$  be a division ring with center  $\mathcal{Z}(\Delta)$ . If  $K$  is a maximal subfield of  $\Delta$  then  $\Delta \otimes_{\mathcal{Z}(\Delta)} K$  is a dense ring of linear transformations on  $\Delta$  as a vector space over  $K$ .*

*Proof.* We will defer the proof of this lemma to [Jac64, p.95]. □

**Theorem 5.17.** (*Kaplansky's Theorem*). *Let  $\mathcal{A}$  be a primitive algebra that satisfies a polynomial identity. Then  $\mathcal{A}$  is finite-dimensional over its center  $\mathcal{Z}(\mathcal{A})$ .*

*Proof.* Since  $\mathcal{A}$  is primitive, from Theorem 3.5 it is either isomorphic to  $\Delta_n$  for some integer  $n$ , or for every integer  $k$  there exists a subalgebra of  $\mathcal{A}$  that maps homomorphically onto  $\Delta_k$ .

Suppose that the latter of the two occurred. For each  $k$ , let  $S_k$  be the subalgebra of  $\mathcal{A}$  that maps homomorphically onto  $\Delta_k$ . From Proposition 5.6 we may assume  $f$  be the multilinear identity that  $\mathcal{A}$  satisfies. Since any subalgebra or homomorphic image of  $\mathcal{A}$  satisfies the polynomial identity on  $\mathcal{A}$ ,  $\Delta_k$  satisfies  $f$  as well. From this the center of  $\Delta_k$ ,  $\mathcal{Z}(\Delta_k)$  (a field) satisfies  $f$  for every  $k$ . From Lemma 5.5 we see that this is an impossibility. As a result the first case must occur, thus  $\mathcal{A} \cong \Delta_n$ .

Let  $K$  be a maximal subfield of  $\Delta$ . From the above isomorphism,  $\Delta$  satisfies the polynomial identity  $f$ . With this result and the multi-linearity of  $f$ ,  $\Delta \otimes_{\mathcal{Z}(\Delta)} K$  satisfies this identity as well. In addition from Lemma 5.16,  $\Delta \otimes_{\mathcal{Z}(\Delta)} K$  is a dense ring of linear transformations on  $\Delta$  over  $K$  thus the above argument may be applied. It follows that  $\Delta \otimes_{\mathcal{Z}(\Delta)} K \cong K_q$  for some positive integer  $q$ . From the definition of the tensor product we have  $q^2 = \text{Dim}_K(\Delta \otimes_{\mathcal{Z}(\Delta)} K) = \text{Dim}_{\mathcal{Z}(\Delta)}(\Delta)$ . In addition  $\Delta_n$  is finite-dimensional over  $\Delta$ , thus  $\text{Dim}_{\Delta}(\Delta_n) = n^2$ .

From the fact that  $\Delta_n$  over  $\Delta$  and  $\Delta$  over  $\mathcal{Z}(\Delta)$  are finite-dimensional, the spanning set of  $\Delta_n$  over  $\mathcal{Z}(\Delta)$  is finite. Thus  $\Delta_n$  is finite-dimensional over  $\mathcal{Z}(\Delta)$ . In other words  $\text{Dim}_{\mathcal{Z}(\Delta)}(\Delta_n) = p$ , for some positive integer  $p$ . From Corollary 5.14,  $\mathcal{Z}(\Delta) \cong \mathcal{Z}(\Delta_n)$ , thus  $\text{Dim}_{\mathcal{Z}(\Delta_n)}(\Delta_n) = p$ . Since it was established earlier that  $\Delta_n \cong \mathcal{A}$ , we may conclude that  $p = \text{Dim}_{\mathcal{Z}(\Delta_n)}(\Delta_n) = \text{Dim}_{\mathcal{Z}(\mathcal{A})}(\mathcal{A})$ . We have proven that  $\mathcal{A}$  is finite-dimensional over its center. □

## Chapter 6

# Locally Finite Algebras

### 6.1 Locally Finite Algebras

**Definition 6.1.** Let  $\mathcal{X} = \{x_1, x_2, \dots\}$  be a subset of  $\mathcal{A}$ , then the *subalgebra generated by*  $\mathcal{X}$ , denoted  $\langle \mathcal{X} \rangle$  is the intersection of all subalgebras of  $\mathcal{A}$  containing  $\mathcal{X}$ .

**Definition 6.2.**  $\mathcal{A}$  is *locally finite* if and only if every finite subset  $\mathcal{X} = \{x_1, x_2, \dots, x_n\}$  of  $\mathcal{A}$  generates a finite-dimensional subalgebra.

**Proposition 6.3.** *Let  $\mathcal{A}$  be an algebraic algebra that is commutative, then  $\mathcal{A}$  is locally finite.*

*Proof.* Let  $\{a_1, \dots, a_k\}$  be a finite subset of  $\mathcal{A}$  and take  $\mathcal{U}$  be the subalgebra of  $\mathcal{A}$  generated by this set. Since  $\mathcal{A}$  is algebraic there exists a polynomial  $f_i$  of degree  $n_i \geq 0$  that  $a_i$  satisfies for  $i = 1, 2, \dots, k$ . Note that each generator  $a_i$  has its corresponding  $n_i$ .  $\mathcal{A}$  is commutative thus the multiplication of two monomials in  $\mathcal{U}$  may be rewritten as follows

$$a_1^{r_1} a_2^{r_2} \cdots a_k^{r_k} a_1^{s_1} a_2^{s_2} \cdots a_k^{s_k} = a_1^{r_1+s_1} a_2^{r_2+s_2} \cdots a_k^{r_k+s_k}, \quad r_i, s_i \geq 0.$$

From this, every element in  $\mathcal{U}$  is a finite sum of monomials of the above form. We will prove that  $\mathcal{U}$  is finite dimensional by showing that for an arbitrary element each monomial in the summand has generators  $a_i$  with an exponent no greater than  $n_i$ , the degree of the polynomial satisfied by  $a_i$ . It will suffice to show that the generator  $a_1$  can be iteratively reduced to that of degree less than  $n_1$ . Given  $u = \sum a_1^{m_1} a_2^{m_2} \cdots a_k^{m_k} \in \mathcal{U}$  we will use induction on the exponent of  $a_1$ . Assume that  $n_1 \leq m_1$ . If  $m_1 = n_1$  then

$$u = \sum a_1^{m_1} a_2^{m_2} \cdots a_k^{m_k} = \sum a_1^{n_1} a_2^{m_2} \cdots a_k^{m_k}.$$

$\mathcal{U}$  is algebraic thus  $a_1^{n_1} = \alpha_1 a_1^{n_1-1} + \dots + \alpha_{n_1}$ , ( $\alpha_i \in \mathcal{F}$ ). We will denote this as  $a_1^{n_1} = \alpha_1 a_1^{n_1-1} + p(a_1)$ , where the highest exponent of  $a_1$  in  $p(a_1)$  is less than  $n_1 - 1$ . Substituting this into the sum we now have

$$u = \sum [\alpha_1 a_1^{n_1-1} + p(a_1)] a_2^{m_2} \dots a_k^{m_k} = \sum \alpha_1 a_1^{n_1-1} a_2^{m_2} \dots a_k^{m_k} + \sum p(a_1) a_2^{m_2} \dots a_k^{m_k}.$$

The exponent of  $a_1$  has been iteratively reduced to that of less than  $n_1$ . Suppose that for  $m_1 = n_1 + t_1$  and for some  $t_1 \geq 1$  the exponent of  $a_1$  may be reduced to that which is less than  $n_1 + t_1$ . Let  $m_1 = n_1 + (t_1 + 1)$  then applying the previous technique we have

$$\begin{aligned} u &= \sum a_1^{n_1} a_1^{t_1+1} a_2^{m_2} \dots a_k^{m_k} \\ &= \sum [\alpha_1 a_1^{n_1-1} + p(a_1)] a_1^{t_1+1} a_2^{m_2} \dots a_k^{m_k} \\ &= \sum [\alpha_1 a_1^{n_1+t_1} + a_1^{t_1+1} p(a_1)] a_2^{m_2} \dots a_k^{m_k} \\ &= \sum \alpha_1 a_1^{n_1+t_1} a_2^{m_2} \dots a_k^{m_k} + \sum a_1^{t_1+1} p(a_1) a_2^{m_2} \dots a_k^{m_k} \end{aligned}$$

Where the highest exponent of  $a_1$  in  $a_1^{t_1+1} p(a_1)$  is less than  $n_1 + t_1$ . By induction,  $u$  may be expressed as a sum where  $a_1$  has an exponent less than  $n_1$ . This process may be applied to  $a_2, \dots, a_k$  successively and as a result the finite set of monomials  $a_1^{m_1} a_2^{m_2} \dots a_k^{m_k}$  ( $m_i < n_i$ ) spans  $\mathcal{U}$ . Therefore,  $\mathcal{U}$  is finite-dimensional which proves that  $\mathcal{A}$  is locally finite.  $\square$

**Proposition 6.4.** *Let  $\mathcal{U}$  be a finitely generated algebraic algebra containing unity. If  $\mathcal{U}$  is finite-dimensional over its center  $\mathcal{Z}(\mathcal{U})$  (a field), then  $\mathcal{U}$  is finite-dimensional over  $\mathcal{F}$ .*

*Proof.* By hypothesis  $\mathcal{U}$  is finite-dimensional over  $\mathcal{Z}(\mathcal{U})$ . There then exists elements  $e_1, \dots, e_m$  in  $\mathcal{U}$  such that every element in  $\mathcal{U}$  is a linear combination of the  $e_i$ 's with scalars in  $\mathcal{Z}(\mathcal{U})$ . From this fact if  $a_i \in \mathcal{U}$ , then it may be expressed as  $a_i = \sum_{t=1}^m z_{it} e_i$  ( $z_{it} \in \mathcal{Z}(\mathcal{U})$ ). In addition if  $a_i, a_j \in \mathcal{U}$ , then  $a_i a_j = \sum_{k=1}^m z_{ijk} e_k$  ( $z_{ijk} \in \mathcal{Z}(\mathcal{U})$ ).

Note that for a finitely generated algebra  $\mathcal{U}$  over  $\mathcal{F}$ , if it is also locally finite over  $\mathcal{F}$  then  $\mathcal{U}$  is finite-dimensional over  $\mathcal{F}$ . Let  $\mathcal{X} = \{a_1, \dots, a_n\}$  be a subset of  $\mathcal{U}$ . We will show that  $\langle \mathcal{X} \rangle$  the algebra over  $\mathcal{F}$  generated by this set, is finite-dimensional over  $\mathcal{F}$ . Let  $\mathcal{I}$  be the subalgebra of  $\mathcal{Z}(\mathcal{U})$  generated by the set  $\{z_{it}, z_{ijk}\}$   $i, j, k = 1, 2, \dots, m$ . Since  $\mathcal{I} \subseteq \mathcal{Z}(\mathcal{U}) \subseteq \mathcal{U}$ , we see that  $\mathcal{I}$  is commutative and algebraic. From Proposition 6.3,  $\mathcal{I}$  is locally finite. With the added property that  $\mathcal{I}$  is finitely generated we may conclude that  $\mathcal{I}$  is finite-dimensional over  $\mathcal{F}$ .

Let  $W = \{\sum \beta_i e_i \mid \beta_i \in \mathcal{I}\}$ . Since  $\mathcal{I}$  contains 1 it may be considered as a field, thus  $W$  is a finitely generated algebraic algebra over  $\mathcal{I}$ . From this we see that  $W$  is a finite-dimensional algebra over  $\mathcal{I}$ . Since  $\mathcal{I}$  is a finite-dimensional algebra over  $\mathcal{F}$  we may conclude that  $W$  is a finite-dimensional algebra over  $\mathcal{F}$ . With  $z_{it}, z_{ijk} \in \mathcal{I}$  the subalgebra generated  $\{a_1, \dots, a_n\}$ ,  $\langle \mathcal{X} \rangle \subseteq W$ . Thus  $\langle \mathcal{X} \rangle$  is finite-dimensional, hence  $\mathcal{U}$  is locally finite. Since  $\mathcal{U}$  itself is finitely generated over  $\mathcal{F}$ , it may be concluded that it is finite-dimensional over  $\mathcal{F}$ .  $\square$

**Lemma 6.5.** *If  $\mathcal{B}$  and  $\mathcal{A}/\mathcal{B}$  are finite-dimensional vector spaces then  $\mathcal{A}$  is finite dimensional.*

*Proof.* Let  $v: \mathcal{A} \rightarrow \mathcal{A}/\mathcal{B}$ . Then  $v$  is a surjective linear map with  $\mathcal{B}$  as its kernel. A well know linear algebra result [Hun74, p.5] is that  $\dim(\text{kernel}(v)) + \dim(\text{image}(v)) = \dim(\mathcal{A})$ . Which is equivalent to  $\dim(\mathcal{B}) + \dim(\mathcal{A}/\mathcal{B}) = \dim(\mathcal{A})$ . Since from the hypothesis  $\mathcal{B}$  and  $\mathcal{A}/\mathcal{B}$  are finite-dimensional, it follows that  $\mathcal{A}$  is finite-dimensional.  $\square$

**Definition 6.6.** An ideal  $\mathcal{I}$  of an algebra  $\mathcal{A}$  is a *locally finite ideal* if when regarded as an algebra it is locally finite.

**Proposition 6.7.** *Let  $\mathcal{C}$  be an ideal of a algebra  $\mathcal{A}$ . If  $\mathcal{A}/\mathcal{C}$  and  $\mathcal{C}$  are locally finite, then  $\mathcal{A}$  is locally finite.*

*Proof.* Let  $\{a_1, \dots, a_k\} \subseteq \mathcal{A}$ . We will show that the subalgebra of  $\mathcal{A}$  generated by this set is finite-dimensional. We will denote  $\{\bar{a}_1, \dots, \bar{a}_k\}$  to be the image of this set under the map  $\mathcal{A} \rightarrow \mathcal{A}/\mathcal{C}$ . Since  $\mathcal{A}/\mathcal{C}$  is locally finite, the subalgebra generated by  $\{\bar{a}_1, \dots, \bar{a}_k\}$  is finite-dimensional. Let  $\{\bar{a}_1, \dots, \bar{a}_k, \bar{a}_{k+1}, \dots, \bar{a}_n\}$  be a spanning set of this subalgebra. From this every element in  $\mathcal{A}/\mathcal{C}$  may be expressed as  $\sum \alpha_i \bar{a}_i$ , ( $\alpha_i \in \mathcal{F}$ ). The multiplication of two elements  $\bar{a}_i, \bar{a}_j \in \mathcal{A}/\mathcal{C}$  may be expressed as  $\bar{a}_i \bar{a}_j = \sum \alpha_{ijl} \bar{a}_l$ . Using the properties of cosets, a inverse image of this product will be  $a_i a_j = \sum \alpha_{ijl} a_l + c_{ij}$ , ( $c_{ij} \in \mathcal{C}$ ).

Denote  $\mathcal{S}$  to be the subalgebra generated by  $\{a_1, \dots, a_n\}$ . It will be beneficial

for the reader to observe the product of elements in  $\mathcal{A}$ . We see that,

$$\begin{aligned}
(a_i a_j) a_q &= \left( \sum \alpha_{ijk} a_k + c_{ij} \right) a_q \\
&= \sum \alpha_{ijk} a_k a_q + c_{ij} a_q \\
&= \sum \alpha_{ijk} (\beta_{kqp} a_p + c_{kq}) + c_{ij} a_q \\
&= \sum (\alpha_{ijk} \beta_{kqp}) a_p + \alpha_{ijk} c_{kq} + c_{ij} a_q.
\end{aligned}$$

In addition,

$$a_i (a_j a_q) = \sum (\alpha_{ipk} \beta_{jqp}) a_k + \beta_{jqp} c_{ip} + a_i c_{jq}.$$

Lastly, we need to consider the product above by an additional  $a_r$ ,

$$(a_i a_j a_q) a_r = \sum (\alpha_{ipk} \beta_{jqp} \gamma_{krs}) a_s + (\alpha_{ipk} \beta_{jqp}) c_{pq} + \beta_{jqp} c_{ip} a_r + a_i c_{jq} a_r.$$

We will let  $\mathcal{Q}$  be the subalgebra generated by  $\{c_{ij}, a_i c_{jq}, c_{ij} a_q, a_i c_{jq} a_r\}$ . Since each of these elements are in  $\mathcal{C}$ , a locally finite ideal,  $\mathcal{Q}$  is finite dimensional. In addition  $\mathcal{Q}$  is a subspace of  $\mathcal{S}$  in which clearly  $qa, aq \in \mathcal{Q}$  ( $a \in \mathcal{A}, q \in \mathcal{Q}$ ), hence is an ideal of  $\mathcal{S}$ .

Consider the map  $\mathcal{S} \rightarrow \mathcal{S}/\mathcal{Q}$ , sending  $a \mapsto \bar{a} = a + \mathcal{S}$ . Since  $\mathcal{C} \subseteq \mathcal{Q}$ , the image of the product of  $a_i a_j$  is  $\bar{a}_i \bar{a}_j = \sum \alpha_{ijk} \bar{a}_k$ , in which we see that  $\{\bar{a}_i, \dots, \bar{a}_n\}$  is a spanning set of  $\mathcal{S}/\mathcal{Q}$ . This set of vectors can be reduced to a finite basis by removing any vectors that are linearly dependent, thus  $\mathcal{S}/\mathcal{Q}$  is finite-dimensional over  $\mathcal{F}$ . Since  $\mathcal{S}/\mathcal{Q}$  and  $\mathcal{Q}$  are finite-dimensional over  $\mathcal{F}$  from Lemma 6.5 we may conclude that  $\mathcal{S}$  is finite-dimensional over  $\mathcal{F}$ . This proves that  $\mathcal{A}$  is locally finite.  $\square$

**Lemma 6.8.** *Let  $\phi: \mathcal{U} \rightarrow \mathcal{V}$  be a ring homomorphism. If  $\mathcal{U}$  is locally finite, then the image of  $\mathcal{U}$  under  $\phi$ ,  $\phi(\mathcal{U})$  is locally finite.*

We will leave the proof of this lemma to the reader.

**Proposition 6.9.** *Let  $\mathcal{U}$  and  $\mathcal{V}$  be a locally finite ideals of  $\mathcal{A}$ , then  $\mathcal{U} + \mathcal{V}$  is locally finite.*

*Proof.* Define  $\phi: \mathcal{U} + \mathcal{V} \rightarrow \mathcal{U}/\mathcal{U} \cap \mathcal{V}$ , sending  $u + v \mapsto u + \mathcal{U} \cap \mathcal{V}$ . Note that this map is surjective, since for any coset  $u' + \mathcal{U} \cap \mathcal{V}$ , we have that,  $\phi(u' + v) = u' + \mathcal{U} \cap \mathcal{V}$ . Next, we will show that  $\phi$  is a homomorphism. Let  $u + v, u' + v' \in \mathcal{U} + \mathcal{V}$  then,

$$\begin{aligned}
\phi[(u + v) + (u' + v')] &= \phi[(u + u') + (v + v')] = (u + u') + \mathcal{U} \cap \mathcal{V} \\
&= u + \mathcal{U} \cap \mathcal{V} + u' + \mathcal{U} \cap \mathcal{V} \\
&= \phi(u + v) + \phi(u' + v').
\end{aligned}$$

In addition, we may note that the kernel of this mapping satisfies,  $\text{Ker}(\phi) = \mathcal{V}$ . From the standard isomorphism theorem,

$$\mathcal{U} + \mathcal{V} / \text{Ker}\phi \cong \phi(\mathcal{U} + \mathcal{V})$$

Since  $\phi$  is surjective we have,

$$\mathcal{U} + \mathcal{V} / \mathcal{V} \cong \mathcal{U} / \mathcal{U} \cap \mathcal{V}.$$

From the use of Lemma 6.8 and the fact that  $\mathcal{U} / \mathcal{U} \cap \mathcal{V}$  is a homomorphic image of  $\mathcal{U}$ , we see that  $\mathcal{U} / \mathcal{U} \cap \mathcal{V}$  is locally finite. Applying this lemma to the isomorphism above we may conclude that  $\mathcal{U} + \mathcal{V} / \mathcal{V}$  is locally finite. By hypothesis  $\mathcal{V}$  is locally finite. Then by applying Theorem 6.7 implies that  $\mathcal{U} + \mathcal{V}$  is locally finite.  $\square$

**Proposition 6.10.** *For every algebra  $\mathcal{A}$  there exists a maximal locally finite ideal which contains all locally finite ideals of  $\mathcal{A}$ .*

*Proof.* We will first show the existence of a maximal locally finite ideal of  $\mathcal{A}$  then proceed to show it contains all locally finite ideals of  $\mathcal{A}$ . Let  $\mathcal{W}$  be a collection of locally finite ideals of  $\mathcal{A}$ . One may verify that  $\mathcal{W}$  is a po-set related by containment. Let us denote  $\mathcal{C} = \{\mathcal{W}_i \mid \mathcal{W}_i \subseteq \mathcal{W}\}$  to be a totally ordered subset of  $\mathcal{W}$ . We will show that  $\bigcup_{\mathcal{C}} \mathcal{W}_i$  is an upper bound of  $\mathcal{C}$ . If  $X$  is a finite subset of  $\bigcup_{\mathcal{C}} \mathcal{W}_i$ , then since  $\mathcal{C}$  is totally ordered there exists a  $\mathcal{W}_\beta$  of  $\mathcal{A}$  such that  $X \subseteq \mathcal{W}_\beta$ , thus  $\langle X \rangle$  is finite-dimensional. This implies that  $\bigcup_{\mathcal{C}} \mathcal{W}_i$  is an element of  $\mathcal{C}$ . In addition,  $\bigcup_{\mathcal{C}} \mathcal{W}_i$  clearly contains  $\mathcal{W}_j \in \mathcal{C}$ , thus is an upper bound of  $\mathcal{C}$ . Since every totally ordered subset of  $\mathcal{W}$  contains an upper bound, Zorn's Lemma may be applied. As a result, there exists a maximal locally finite ideal denoted as  $L(\mathcal{A})$ .

Next we will show that  $L(\mathcal{A})$  contains all locally finite ideals of  $\mathcal{A}$ . Let  $\mathcal{U}$  be a locally finite ideal of  $\mathcal{A}$ . By Proposition 6.9,  $L(\mathcal{A}) + \mathcal{U}$  is also a locally finite ideal of  $\mathcal{A}$ . As a result,  $L(\mathcal{A}) \subseteq L(\mathcal{A}) + \mathcal{U}$ . By maximality we see that  $L(\mathcal{A}) = L(\mathcal{A}) + \mathcal{U}$ , which implies that  $\mathcal{U} \subseteq L(\mathcal{A})$ . This completes the proof.  $\square$

From here on we will denote  $L(\mathcal{A})$  to be the maximum locally finite ideal of  $\mathcal{A}$ .

**Corollary 6.11.**  $\mathcal{A}$  is locally finite if and only if  $L(\mathcal{A}) = \mathcal{A}$ .

The proof of this theorem follows directly from Proposition 6.10. We will leave the proof to the reader.

**Theorem 6.12.**  $L(\mathcal{A}/L(\mathcal{A})) = \{0\}$ .

*Proof.* Consider the homomorphism  $v: \mathcal{A} \rightarrow \mathcal{A}/L(\mathcal{A})$ . Let  $\overline{C}$  be a locally finite ideal of  $\mathcal{A}/L(\mathcal{A})$ . From the correspondence theorem  $\overline{C} = C/L(\mathcal{A})$  for some ideal  $C$  of  $\mathcal{A}$  containing  $L(\mathcal{A})$ . With  $\overline{C}$  and  $L(\mathcal{A})$  as locally finite ideals of  $\mathcal{A}$ , from Proposition 6.7 it may be concluded that  $C$  is locally finite as well. Since  $C$  is locally finite,  $C \subseteq L(\mathcal{A})$  and as a result  $L(\mathcal{A}) = C$ . This implies that the locally finite ideal,  $\overline{C} = C/L(\mathcal{A}) = \{0\}$ . We see that every locally finite ideal of  $\mathcal{A}/L(\mathcal{A})$  is  $\{0\}$ . Thus it follows from Proposition 6.10 that  $L(\mathcal{A}/L(\mathcal{A})) = \{0\}$ .  $\square$

In Proposition 6.10 it was proven that  $L(\mathcal{A})$  contains all locally finite ideals of  $\mathcal{A}$ . We will look to extend this result by proving  $L(\mathcal{A})$  contains all one-sided locally finite ideals of  $\mathcal{A}$  as well.

**Proposition 6.13.** Let  $\mathcal{U}$  be a locally finite right (or left) ideal of  $\mathcal{A}$  then  $\mathcal{U} \subseteq L(\mathcal{A})$ .

*Proof.* Let  $\overline{\mathcal{U}}$  be the image of the right ideal  $\mathcal{U}$  under the homomorphism  $v: \mathcal{A} \rightarrow \mathcal{A}/L(\mathcal{A}) = \overline{\mathcal{A}}$ . Since  $L(\overline{\mathcal{A}}) = L(\mathcal{A}/L(\mathcal{A})) = \{0\}$ , if  $\overline{\mathcal{U}}$  is locally finite as a two-sided ideal  $\overline{\mathcal{U}} = \{0\}$ . From the properties of cosets this would imply that  $\mathcal{U} \subseteq L(\mathcal{A})$ , hence being locally finite. With this we see that the proof of this theorem reduces to showing that the locally finite right ideal  $\overline{\mathcal{U}} = \{0\}$ .

Since  $v$  is a surjective map  $\overline{\mathcal{U}}$  is a right ideal of  $\overline{\mathcal{A}}$ . To prove that  $\overline{\mathcal{U}} = \{0\}$  we will first show that the ideal  $\overline{\mathcal{A}}\overline{\mathcal{U}}$  is locally finite ideal of  $\overline{\mathcal{A}}$ . Let  $\{x_1, \dots, x_n\}$  be a non-empty subset of  $\overline{\mathcal{A}}\overline{\mathcal{U}}$ . Then

$$\begin{aligned} x_i &= \sum a_{ij}u_{ij}, & a_{ij} \in \overline{\mathcal{A}}, u_{ij} \in \overline{\mathcal{U}} & \text{ and,} \\ x_i x_k &= \sum a_{ij}u_{ij}a_{kl}u_{kl}. \end{aligned}$$

We will denote  $u_{ij}a_{kl} = q_{ijkl}$ . Let  $W$  be the subalgebra generated by  $\{q_{ijkl}, u_{kl}\}$ . From Proposition 5.7,  $\bar{U}$  is locally finite. In addition,  $q_{ijkl} \in \bar{U}$  so the subalgebra  $W$  is finite-dimensional over  $\mathcal{F}$ . The product  $x_i x_k = \sum a_{ij} q_{ijkl} u_{kl} \subseteq \sum a_{ij} W$ . Let  $Q = \sum a_{ij} W$ . Since  $W$  is finite-dimensional and  $Q$  is a finite sum, it follows that  $Q$  is finite dimensional and that it contains any product any two  $x_i$ 's. To show that  $Q$  is closed under multiplication it will suffice just to compute the following

$$\begin{aligned} x_t x_i x_k &\subseteq x_t \sum a_{ij} W \\ &\subseteq \left( \sum a_{tp} u_{tp} \right) \sum a_{ij} W = \sum a_{tp} q_{tpij} W \\ &\subseteq \sum a_{tp} W \\ &\subseteq Q. \end{aligned}$$

Since the product of any collection of  $x$ 's is contained in  $Q$ , the subalgebra generated by  $\{x_1, \dots, x_n\}$  will be contained in this finite dimensional vector space as well. Thus the subalgebra generated by  $\{x_1, \dots, x_n\}$  is finite-dimensional over  $\mathcal{F}$ . It has been shown that  $\bar{\mathcal{A}} \bar{U}$  is a locally finite ideal of  $\bar{\mathcal{A}}$  hence  $\bar{\mathcal{A}} \bar{U} = \{0\}$ . With the additional fact that  $\bar{U}$  is a right ideal of  $\bar{\mathcal{A}}$  we see that  $\bar{U}$  is a two sided ideal of  $\bar{\mathcal{A}}$ . Since  $\bar{U}$  is locally finite, we see that  $\bar{U} = \{0\}$ . In reference to the earlier remarks, we have that  $U \subseteq L(\mathcal{A})$ , the desired result.  $\square$

**Theorem 6.14.** *Let  $\mathcal{A} \neq \{0\}$  be finitely generated algebraic algebra that satisfies a polynomial identity. If  $\mathcal{A}$  contains no nilpotent elements then  $L(\mathcal{A}) \neq \{0\}$ .*

*Proof.* Since  $\mathcal{A}$  is algebraic, from Proposition 4.23  $\mathcal{J}(\mathcal{A})$  is nil. With the additional hypothesis that  $\mathcal{A}$  contains no nilpotent elements, we may conclude that  $\mathcal{J}(\mathcal{A}) = \{0\}$ . Since  $\mathcal{J}(\mathcal{A}) \neq \mathcal{A}$ , there exists an irreducible  $\mathcal{A}$ -module  $\mathcal{N}$ . From Proposition 2.16 we see that  $\mathcal{N}$  is a faithful  $\mathcal{A}/\text{Ann}(\mathcal{N})$ -module. A problem that may occur is  $\mathcal{N}$  over  $\mathcal{A}/\text{Ann}(\mathcal{N})$  may not be irreducible. Since from Proposition 2.7 the submodules of  $\mathcal{N}$  over  $\mathcal{A}$  correspond to the submodules of  $\mathcal{N}$  over  $\mathcal{A}/\text{Ann}(\mathcal{N})$ ,  $\mathcal{N}$  is a faithful irreducible  $\mathcal{A}/\text{Ann}(\mathcal{N})$ -module. Generally, we may conclude that there exists an ideal  $\mathcal{I}$  such that  $\mathcal{A}/\mathcal{I}$  is primitive.

Let  $v : \mathcal{A} \rightarrow \mathcal{A}/\mathcal{I}$ , sending  $a \mapsto \bar{a}$ . By hypothesis,  $\mathcal{A}$  satisfies a polynomial identity. Since  $v$  is a homomorphism, from Lemma 5.7  $\mathcal{A}/\mathcal{I}$  satisfies the same polynomial identity. With the previous paragraph in mind, we have shown that  $\mathcal{A}/\mathcal{I}$  is a primitive

algebra that satisfies a polynomial identity. From Kaplansky's Theorem,  $\mathcal{A}/\mathcal{I}$  is finite dimensional over its center  $\mathcal{Z}(\mathcal{A}/\mathcal{I})$ .

From the hypothesis  $\mathcal{A}$  is generated by some finite set  $\{a_1, \dots, a_k\}$ . It is evident that the image of the set under the mapping  $v, \{\bar{a}_1, \dots, \bar{a}_k\}$  generates  $\mathcal{A}/\mathcal{I}$ . Since  $\mathcal{A}/\mathcal{I}$  is finitely generated, we may now apply Proposition 6.4 and conclude that  $\mathcal{A}/\mathcal{I}$  is finite-dimensional over  $\mathcal{F}$ .

Let  $\{\bar{e}_1, \dots, \bar{e}_m\}$  be a basis of  $\mathcal{A}/\mathcal{I}$ . Although  $v$  is not an injective map, we may still determine an inverse image  $\{e_1, \dots, e_m\}$  of  $\{\bar{e}_1, \dots, \bar{e}_m\}$ . For an  $\bar{a}_i \in \mathcal{A}/\mathcal{I}$ , we have  $\bar{a}_i = \sum \alpha_{ij} \bar{e}_j$ , ( $\alpha_{ij} \in \mathcal{F}$ ). In  $\mathcal{A}$ , this element is of the form  $a_i - \sum \alpha_{ij} e_j = b_i$ , ( $b_i \in \mathcal{I}$ ). We have showed that

$$a_i = \sum \alpha_{ij} e_j + b_i.$$

Similarly for  $e_i e_j \in \mathcal{A}$ ,

$$e_i e_j = \sum \beta_{ijk} e_k + b_{ij} \quad b_{ij} \in \mathcal{I}.$$

Let  $\mathcal{I}'$  be an ideal of  $\mathcal{A}$  generated by finite set  $\{b_i, b_{ij}\}$ ,  $i, j = 1, \dots, n$ . We will now prove that  $\mathcal{I} = \mathcal{I}'$ , showing that  $\mathcal{I}$  is finitely generated. Clearly the generators of  $\mathcal{I}'$  imply  $\mathcal{I}' \subseteq \mathcal{I}$ . Let  $a \in \mathcal{I}$  then from above we may conclude that  $a = \sum \gamma_i e_i + b'$  ( $b' \in \mathcal{I}'$ ,  $\gamma_i \in \mathcal{F}$ ). Since  $a, b' \in \mathcal{I}$ ,  $a - b' = \sum \gamma_i e_i \in \mathcal{I}$ . The image  $\overline{a - b'} = \sum \gamma_i \bar{e}_i = \bar{0}$ . The  $\bar{e}_i$ 's form a basis for  $\mathcal{A}/\mathcal{I}$ . By linear independence  $\gamma_i = 0$  for every  $i$ , which results in  $a = b'$ , hence  $\mathcal{I} \subseteq \mathcal{I}'$ . This proves that  $\mathcal{I} = \mathcal{I}'$ .

From Proposition 4.26 there is an idempotent element  $u \in \mathcal{Z}(\mathcal{I})$  where  $ub_i = b_i$  and  $ub_{ij} = b_{ij}$  for all  $i, j$ . With this idempotent element we can apply the *left Pierce decomposition* [Jac64, p48] of  $\mathcal{A}$  which results in

$$\mathcal{A} = \mathcal{A}u \oplus \mathcal{A}(1 - u)$$

where  $\mathcal{A}u$  and  $\mathcal{A}(1 - u) = \{a - au \mid a \in \mathcal{A}\}$  are two sided ideals of  $\mathcal{A}$ . Since  $u$  is in the center of  $\mathcal{I}$ ,  $\mathcal{I} = \mathcal{A}u$  and the left Pierce decomposition can be reduced to,

$$\mathcal{A}/\mathcal{I} = \mathcal{A}/\mathcal{A}u \cong \mathcal{A}(1 - u).$$

$\mathcal{A}/\mathcal{I}$  corresponds to a two sided ideal  $\mathcal{A}(1 - u)$  of  $\mathcal{A}$ . It was previously proven that  $\mathcal{A}/\mathcal{I}$  is finite dimensional over  $\mathcal{F}$ . Its isomorphic image  $\mathcal{A}(1 - u)$  must also be finite dimensional, hence locally finite. We may conclude that  $\mathcal{A}(1 - u)$  is a non-zero locally finite ideal of  $\mathcal{A}$  which is contained in  $L(\mathcal{A})$ . We have proven that  $L(\mathcal{A}) \neq \{0\}$ .  $\square$

## Chapter 7

# Kurosh's Theorem

### 7.1 Overview

Recall that a finitely generated algebra is not necessarily finite-dimensional. There are numerous examples that can confirm this. In particular the algebra  $\mathcal{F}[x]$  is not finite-dimensional but is generated as an algebra by 1 and  $x$ . Conversely there are natural examples in which a finitely generated algebra is finite-dimensional. In the case in which  $\mathcal{A}$  is algebraic and commutative, any finite subset of  $\mathcal{A}$  generates a finite-dimensional algebra. In general is there a condition that is both necessary and sufficient to ensure that an algebra is locally finite?

Alekander Kurosh in 1962 discovered that an algebra that is algebraic and satisfies a polynomial identity is locally finite. Note that  $\mathcal{F}[x]$  is not locally finite, nor is it algebraic, since there does not exist a non-zero polynomial that  $x$  satisfies. We will conclude our exposition by proving Kurosh's Theorem.

### 7.2 Kurosh's Theorem

**Theorem 7.1.** (Kurosh's Theorem) *Let  $\mathcal{A}$  be an algebraic algebra over field  $\mathcal{F}$  that satisfies a polynomial identity, then  $\mathcal{A}$  is locally finite.*

*Proof.* From Proposition 5.6 we may assume that the polynomial identity is multilinear. Since any finitely generated subalgebra of  $\mathcal{A}$  will satisfy the same identity we may assume that  $\mathcal{A}$  is finitely generated. Our argument will be reduced to proving that a finitely

generated algebraic algebra  $\mathcal{A}$  that satisfies a multilinear polynomial identity of degree  $d$  is locally finite.

Recall that the maximum locally finite ideal of  $\mathcal{A}$ ,  $L(\mathcal{A})$  contains all locally finite ideals of  $\mathcal{A}$ . From Corollary 6.11,  $\mathcal{A}$  is locally finite if and only if  $L(\mathcal{A}) = \mathcal{A}$ . In this proof we will consider the quotient  $\overline{\mathcal{A}} = \mathcal{A}/L(\mathcal{A})$ , and arrive at the conclusion that  $\overline{\mathcal{A}} = \{0\}$  which will result in  $L(\mathcal{A}) = \mathcal{A}$ .

From Theorem 6.12 we have that  $L(\overline{\mathcal{A}}) = L(\mathcal{A}/L(\mathcal{A})) = \{0\}$ . We will assume that  $\overline{\mathcal{A}} \neq \{0\}$  and distinguish two cases both resulting in  $L(\overline{\mathcal{A}}) \neq \{0\}$ , which is a contradiction. This will show that  $\overline{\mathcal{A}} = \{0\}$  and prove that  $\mathcal{A}$  is locally finite. We will proceed with the first case.

**Case 1.** ( $\overline{\mathcal{A}}$  contains no non-zero nilpotent elements)

Since  $\overline{\mathcal{A}}$  is the homomorphic image of the natural map,  $v : \mathcal{A} \rightarrow \mathcal{A}/L(\mathcal{A})$ ,  $\overline{\mathcal{A}}$  satisfies the polynomial identity of  $\mathcal{A}$ . Let  $f(x_1, \dots, x_d)$  be the identity satisfied by  $\overline{\mathcal{A}}$ . By assumption  $\mathcal{A}$  is generated by some non-empty set  $\{a_1, \dots, a_k\}$  and the image of these elements under  $v$  will also generate  $\overline{\mathcal{A}}$ . Thus  $\overline{\mathcal{A}}$  is finitely generated algebraic algebra that satisfies an identity. From Theorem 6.14 we have that  $L(\overline{\mathcal{A}}) \neq \{0\}$ .

**Case 2.** ( $\overline{\mathcal{A}}$  contains a non-zero nilpotent element)

We may assume there exists a non-zero nilpotent element  $u \in \overline{\mathcal{A}}$  is such that  $u^2 = 0$ . We will look at the left ideal  $\overline{\mathcal{A}}u = \{\overline{a}u \mid \overline{a} \in \overline{\mathcal{A}}\}$  of  $\overline{\mathcal{A}}$ .

If  $\overline{\mathcal{A}}u = \{0\}$ , then  $u \in \text{Ann}(\overline{\mathcal{A}})$ . Note that  $\text{Ann}(\overline{\mathcal{A}})$  is locally finite since for any finite subset  $\{u_1, u_2, \dots, u_k\} \subseteq \text{Ann}(\overline{\mathcal{A}})$  the subalgebra generated by this set will be spanned by  $\{u_1, u_2, \dots, u_k\}$  ( $u_i u_j = 0$ ,  $i, j = 1, 2, \dots, n$ ). Hence  $\text{Ann}(\overline{\mathcal{A}})$  is a non-empty locally finite ideal of  $\overline{\mathcal{A}}$ . This implies  $L(\overline{\mathcal{A}}) \neq \{0\}$ .

In the case that  $\overline{\mathcal{A}}u \neq \{0\}$ , we will use induction on the degree of the polynomial identity to show that  $\overline{\mathcal{A}}u$  is locally finite and is thus contained in  $L(\overline{\mathcal{A}})$ . As previously stated we may assume  $\overline{\mathcal{A}}$  to satisfy a multilinear polynomial identity  $f(x_1, \dots, x_d)$  of degree  $d$ . The proof will proceed by induction on  $d$ .

If  $d = 2$ , then the polynomial identity that is satisfied by  $\overline{\mathcal{A}}$  is of the form  $f(x_1, x_2) = \alpha x_1 x_2 + \beta x_2 x_1$  ( $\alpha, \beta \in \mathcal{F}$ ). As a result  $\overline{\mathcal{A}}$  is either commutative or  $\overline{\mathcal{A}}^2 = \{0\}$ . In the former case, Proposition 6.3 may be used to prove that  $\overline{\mathcal{A}}$  is locally finite. If  $\overline{\mathcal{A}}^2 = \{0\}$  then clearly any subalgebra generated by a finite subset of  $\overline{\mathcal{A}}$  will be finite-dimensional.

We will now assume that every algebraic algebra that satisfies a polynomial identity of degree less than  $d$  is locally finite. By assumption  $\overline{\mathcal{A}}$  satisfies a multilinear identity of degree  $d$ . Since  $\overline{\mathcal{A}}u$  is a subspace of  $\overline{\mathcal{A}}$  it satisfies  $f$  as well. Next, we will decompose  $f$  into

$$f(x_1, \dots, x_d) = x_1 g(x_2, \dots, x_d) + h(x_1, \dots, x_d)$$

where  $x_1$  never appears first in any of the monomials in  $h$ . Setting  $x_1 = u$ ,  $x_2 = \overline{a_2}u$ ,  $x_3 = \overline{a_3}u$ ,  $\dots$ ,  $x_d = \overline{a_d}u$ , we have

$$f(u, \overline{a_2}u, \dots, \overline{a_d}u) = ug(\overline{a_2}u, \dots, \overline{a_d}u) + h(u, \dots, \overline{a_d}u).$$

From the fact that  $h$  is multilinear the evaluation  $h(u, \overline{a_2}u, \dots, \overline{a_d}u) = 0$ . This is because every monomial in the summand has a factor of the form  $x_j x_1$  which substitutes to  $(\overline{a_j}u)u = \overline{a_j}u^2 = 0$  for some  $j = 2, \dots, d$ . We are left with  $0 = f(u, \dots, \overline{a_d}u) = ug(\overline{a_2}u, \dots, \overline{a_d}u)$ .

In  $\overline{\mathcal{A}}u$ , let  $\mathcal{D} = \{x \in \overline{\mathcal{A}}u \mid ux = 0\}$ . Since  $(\overline{\mathcal{A}}u)\mathcal{D} = \{0\}$ ,  $\mathcal{D}$  is a two sided ideal of  $\overline{\mathcal{A}}u$ . In addition  $\mathcal{D}^2 \subseteq (\overline{\mathcal{A}}u)\mathcal{D}$ , hence  $\mathcal{D}^2 = \{0\}$  and from the above remarks we may conclude that  $\mathcal{D}$  is locally finite. It follows directly from  $\mathcal{D}$  that  $\overline{\mathcal{A}}u/\mathcal{D}$  satisfies  $g(x_2, \dots, x_d)$  under the map sending  $\overline{a}u \mapsto \overline{a}u + \mathcal{D}$ .

Since  $\overline{\mathcal{A}}u/\mathcal{D}$  satisfies a polynomial of degree  $d-1$ , by induction we can conclude that  $\overline{\mathcal{A}}u/\mathcal{D}$  is locally finite. Since  $\mathcal{D}$  is locally finite, by Proposition 6.7 it follows that  $\overline{\mathcal{A}}u$  is locally finite. We have proven that  $\overline{\mathcal{A}}u$  is a non-empty locally finite left ideal of  $\overline{\mathcal{A}}$ . From Proposition 6.13,  $\overline{\mathcal{A}}u \subseteq L(\overline{\mathcal{A}})$  which implies that  $L(\overline{\mathcal{A}}) \neq \{0\}$ .

From both cases it has been shown that if  $L(\overline{\mathcal{A}}) \neq \{0\}$ , but from Theorem 6.12,  $L(\overline{\mathcal{A}}) = L(\mathcal{A}/L(\mathcal{A})) = \{0\}$ . For this to be valid it must be that  $\overline{\mathcal{A}} = \{0\}$ , which implies that  $L(\mathcal{A}) = \mathcal{A}$  revealing to us that  $\mathcal{A}$  is locally finite.  $\square$

## Chapter 8

# Conclusion

The origin of Kurosh's initial question can be traced to the Burnside Problem. Similar to the Kurosh Theorem, the Burnside Problem's hypothesis is whether a group in which any finite collection of group elements all of which have finite order generates a finite group. In 1963 Golod and Shafarevitch introduced a technique in which a nilpotent algebra was constructed that is not locally finite. Thus showing that in absence of a polynomial identity an algebraic algebra may not be locally finite. With this, Kurosh's Theorem provides the necessary and sufficient conditions for an algebra to be locally finite. From the Golod/Shafarevitch result an analogous group may be constructed that provides a negative answer to the Burnside Problem. We will recommend [Her05, p.187-193] to the reader that is curious of Golod and Shafarevitch's construction of an algebraic algebra that is not locally finite.

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