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THE IMPACT OF ZERO TOLERANCE ON STUDENT
ACADEMIC ACHIEVEMENT AND RETENTION

A Thesis
Presented to the
Faculty of
California State University,
San Bernardino

In Partial Fulfillment
of the Requirements for the Degree
Master of Arts
in
Educational Administration

by
Paul Robert Robinson

June 2010

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ABSTRACT

Emerging trends showing zero tolerance policies having negative effects on school children, especially those of poor and/or minority background, make an elementary district's recently implemented zero tolerance style punishments for fifth and sixth graders an interesting area of study. Zero tolerance policies have expanded since 1990 for two main reasons, political (pressure to stop school violence) and legal (harsh new laws/punishments). Research shows that zero tolerance policies are not modifying behavior but simply removing students from the educational environment and on towards a path of failure that many are ill-equipped to recover from. The district's test scores and retention data (suspensions, placements, and expulsions) were surveyed and ten principals were interviewed. District Principals feel that the policy is fair increases academic achievement, the data tells a different story however. The data revealed more suspensions, placements and expulsions denying students education. The district failed to meet AYP targets just after the implementation of this policy. The student populations failing to make AYP are the same that studies show are most detrimentally affected by zero tolerance.

More research is needed to pinpoint the reasons for these failures and add longitudinal depth but strong correlations to the literature base exist.

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DEDICATION

To my beloved family, whose love and support saw me through this journey, without you I could not have accomplished this feat- nor would it have been worth it.

To my wife Andrea, your patience, support, unwavering love and devotion are a truly special gift. Thank you for shouldering so much of the burden while this was completed.

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CHAPTER ONE
INTRODUCTION

Introduction to the Study

With school safety being a paramount concern for all stakeholders involved (students, parents, teachers, administrators and the community) and several high profile incidents of school violence, most notably the shootings at Columbine High School, the laws regarding punishments have grown steadily harsher for students. From 1990 onward the application of zero tolerance policies at elementary and secondary education school sites has expanded. This is mainly due to two reasons, legal and political.

From a legal perspective three main laws have had the effect of expanding zero tolerance. The Gun-Free School Zones Act of 1990 (PL 101-647) followed by The Safe Schools Act of 1994 (PL 103-227) and the Safe and Drug-Free Schools and Communities Act of 1994 (PL 103-382) (Casella, 2003; Martinez, 2009; Noguera, 2003; Skiba & Peterson, 2000). Since the passage of these laws harsh punishments like zero tolerance have grown in application over the last 20 years and have been expanded in extreme cases to the carrying of nail files or Midol (Martinez, 2009). These federal laws

have had the effect of enhancing punishments for certain violations upon the first offense and endangering schools federal funding if administrators fail to follow through with required discipline.

Combined with the above legal requirements for zero tolerance the political stage adds to the script of zero tolerance because high profile events of school violence create a need for the public to feel that their civic leaders are dealing with the problem and that the nation's children are being provided a safe environment by vigilant school boards and administrators (Casella, 2003; Martinez, 2009; Noguera, 2003; Skiba & Peterson, 2000).

Background

A recent research survey indicates that zero-tolerance, though on the rise, is only part of a comprehensive discipline plan for any educational environment. Some (Martinez, 2009) argue zero-tolerance needs to be abolished all together, while others argue it needs to be incorporated into a systematic progressive discipline system that includes an emphasis on prevention (Casella, 2003; Noguera, 2003; Skiba & Peterson, 2000; Theriot & Dupper 2009) and for others an emphasis on

positive behavioral interventions and supports or PBIS (Green, 2009).

While this introduction gives the reader an idea of the variety of discipline models available and the interpretations of those models it should be noted that school discipline is not easy to categorize into one model or another as most schools and districts use a variety of approaches and the law has changed over time. For example zero-tolerance, as defined by the aforementioned public laws, dealt at first with students in possession of a *firearm* on campus in 1990. In 1995 *firearm* was changed to *weapon* and from that point forward a variety of interpretations have been applied leading to an increase in the number of students given a required 1 year expulsion (Casella, 2003; Martinez, 2009).

Despite this growth of "zero-tolerance" one cannot distill a school's discipline procedures down to "zero-tolerance" over say progressive discipline or PBIS. In reality zero-tolerance applies to a small but growing number of infractions- though the violations of those infractions and the students affected by the consequences have grown dramatically. Combined with these zero tolerance infractions schools use other discipline strategies as

well. Essentially it would be improper to label a school as having a zero-tolerance system on one end or a PBIS system on the other end of the scale as in reality many schools might encompass, by law in many cases, components of both models (Skiba & Peterson, 2000).

Those extreme cases aside school administration has a fiduciary duty to provide a safe learning environment, and many studies show that learning either does not occur or occurs at a diminished rate in a disorderly and unsafe environment. In response to this growth in zero tolerance a growing number of students have been suspended or expelled from schools. These students tend to be of poor and minority backgrounds, special needs or both and schools fail to meet their unique needs eventually denying them education (Casella, 2003; Martinez, 2009; Noguera, 2003; Skiba & Peterson, 2000).

Statement of the Problem

Extreme cases aside school administration has a fiduciary duty to provide a safe learning environment, and many studies show that learning either does not occur or occurs at a diminished rate in a disorderly and unsafe environment. In response to this growth in zero tolerance a

growing number of students have been suspended or expelled from schools. These students tend to be of poor and minority backgrounds, special needs or both and schools fail to meet their unique needs eventually denying them education (Casella, 2003; Martinez, 2009; Noguera, 2003; Skiba & Peterson, 2000). There is a high correlation between exclusion from school, whether through suspension or expulsion, and failing grades. The research shows that as zero tolerance expands, suspensions and expulsions expand as well. This combination creates lower student retention and academic failure for the students affected.

It is under this back drop that I wanted to conduct a survey of the discipline procedures of an elementary district in my local area. The district had recently implemented a much stricter progressive discipline policy in the 2006-2007 school year. This policy applied new consequences for infractions such as fighting in the fifth and sixth grades that involved a five-day suspension for the first offense and expulsion for the second. In lieu of expulsion, and at administrative discretion, parents are often (almost exclusively unless the offense is violence that causes a serious injury or an infraction involving a gun or knife) offered what the district calls placement

instead of expulsion. Placement is the removal of a student as a punishment for violating the district discipline policy from the student's original school and "placement" or transfer of the student into another school in lieu of expulsion. During the placement of the student at a different school no transportation is provided by the district and the parents must transport the child, or arrange transportation, to and from school and any school events.

I wanted to study this hybrid discipline system that maintains a tough stance on serious infractions but does not throw the idea of progressive discipline out the window and remove a student from the educational environment or strip search her for bringing some Tylenol to school etc. I wanted to discern what was happening to student retention and academic achievement under the new policy.

The research question is: What effect has the district's new discipline policy implemented in the 2006-2007 school year, which now includes zero tolerance punishments, had on the following areas: student retention and academic achievement. In specific I wanted to answer the following questions:

1. Has student retention, as measured by suspensions,

placements and expulsions increased or decreased since the implementation of the new policy?

2. Has student academic achievement improved district wide as measured by scores on standardized tests?

3. Is there a correlation between the two?

While I reviewed data for the entire district, I gave special focus to fifth and sixth graders since that is where the harshest of zero tolerance style punishments are. The discipline policy is attached at the end of this document as APPENDIX A.

Purpose of the Study

It is well accepted that when established and enforced with fidelity and consistency students will acclimate to new rules. (Noguera, 2003) The extreme cases like the tragic incident at Columbine aside school administration has a fiduciary duty to provide a safe learning environment, and many studies show that learning either does not occur or occurs at a diminished rate in a disorderly and unsafe environment, but the goal of discipline should be to modify and correct behavior to provide an education, not deny it. In response to the growth in zero tolerance however, a growing number of

students have been suspended or expelled from school. These students tend to be of a poor and/or minority background and schools simply fail to meet their unique needs eventually denying them education (Casella, 2003; Martinez, 2009; Noguera, 2003; Skiba & Peterson, 2000).

I want to know how my local elementary districts new discipline policy is affecting students. Are students being provided a safe and orderly environment for learning to occur by modifying behavior, or are we simply denying education to those too difficult to assimilate to the educational environment? Furthermore in light of the new discipline policy what is happening to test scores? Are they improving? If they are improving is it because we have simply removed our most difficult students, who generally have the worst scores as well? Or are we retaining these students because of modified behavior that allows them to fit into the system? Finally, if we are retaining students are the test scores improving? These questions will help to discern the level of effectiveness of the discipline policy on educating and retaining students.

Theoretical Bases and Organization

Numerous studies have been done on the effects of zero tolerance. In general, as described above and presented below in the review of literature, zero tolerance is utilized under the hypothesis of creating a safer school environment by creating harsh punishments to either deter crime or remove violent students. However many cases show students being removed from the educational setting for rule violations that are minor or seem to go against the grain of zero tolerance like possession of Tylenol. The research builds a strong case for the discontinuing the use of zero tolerance because of unintended consequences. This study will show whether zero tolerance, combined with progressive discipline, can be used to enhance student academic achievement and retention through the use of a comprehensive system.

Limitations of the Study

This study is limited in the methodology used to investigate the subject. To discern the effects of the district's discipline policy an interview with site Principals and data regarding suspensions and expulsions from each site are used. While this provides a good look at the administrative interpretation of the policy and the

results of applying the policy it does not allow us to make interpretations of student motivators based on student experience. Therefore the correlations drawn from data regarding student retention and academic achievement are inherently limited as they are absent the student interpretation. Furthermore the study is not longitudinal and does not include qualitative data regarding individual students going through the system to identify if the students who are placed become the students who are later expelled.

Definitions

The following terms need to be defined:

1. Discipline policy means the Governing Board authorized matrix defining discipline procedures (punishments or interventions) to be used for rule violations will be used and referenced.
2. Academic achievement will be measured by student performance on state standardized tests (California Standards Tests or CST's) in English Language Arts (ELA) and Math with special focus given to the fifth and sixth grade levels as these are where the zero tolerance measures come in. Overall district

performance on the state Academic Performance Index scale (API), a ranking of how well the school is doing academically will also be surveyed as well as the districts accomplishment of the federal Adequate Yearly Progress (AYP) Targets, a list of academic and structural goals for the district to meet.

3. Retention will be measured by the increase or decrease in the district wide rate (the total number of incidents divided by the total enrollment) of students who are suspended and expelled from the educational environment. The total number of placements for the district will also be considered since it is an alternative to expulsion, but placements will not used to determine whether retention is increasing or decreasing as the student being placed is still in the educational environment. For this purpose the educational environment will be a school.
4. Suspension will be defined as a temporary removal from school and will be measured on a district wide basis reporting out the rate (the total number of incidents divided by the total enrollment) per

year.

5. Placement is the removal of a student as a punishment for violating the district discipline policy from the student's original school and "placement" or transfer of the student into another school in lieu of expulsion. The raw number of placements per year on a district wide basis will be evaluated.
6. Expulsion is the removal of a student from the school and the school district with no alternative educational setting being provided by the district. Expulsions will be measured on a district wide basis reporting out the rate (the total number of incidents divided by the total enrollment) per year.

CHAPTER TWO
LITERATURE REVIEW

Introduction

The survey of research available provided that Zero Tolerance policies have grown in scope and application since their inception in the early 1990's with the primary effect of excluding, through suspension and expulsion, large numbers of students who are overwhelmingly poor and minority. While this is seen as maintaining order by some and embodies the community with a sense that something is being done to curb violence it ignores the underlying reasons as to why students are acting out in the first place. If we view schools as a social contract we can easily make connections between those students that are not being served by the social contract and the same students' misbehavior. Therefore, a successful discipline program should keep students in the educational environment unless completely impossible by employing early intervention and education that focuses on proactive prevention of discipline issues vs. reactive punishment of discipline issues. Furthermore schools that have switched to a proactive early intervention model have experienced

positive affects in other areas as well, from staff moral to overall school culture.

A Theoretical Framework

In his book *Pedagogy of the Oppressed* Freire (1970) discusses many dichotomies surrounding oppressed people to illustrate his points and advocate for change. In regards to education, Freire uses a student teacher dichotomy, explaining education closely relates to a colonizer/colonized dichotomy pervasive in his work. This colonizer/colonized dichotomy is very Marxian in nature and relates to the power difference between those in power and those controlled and how there is an interdependence between the two and a strengthening of the dichotomy by both. Essentially both sides perpetuate the dichotomy and the existing structure through a variety of reinforcements. A metaphor is drawn for the student/teacher dichotomy that involves a banking system where the teacher deposits information into the student who simply receives the deposit. Freire rejects this view of education claiming it under serves and excludes what he terms the "oppressed" by perpetuating the existing system since the teacher chooses what to deposit and the student has no input or control and

if the student tries to exert influence or control, the system rejects the student. Freire calls for a new model based on mutual learning where the teacher is also the student and each, student and teacher, strive to enlighten their humanity and struggle to understand the human condition, their condition, either together or with the teacher acting as a facilitator of learning and not a sage.

Smith and Stoval (2008) apply critical race theory to education arguing that exclusion of poor and minority students does not only happen because of discipline but also because of how schools are structured. In the case of one Chicago city school the structure of a magnet school within a revitalized urban community excluded most of the traditional residents that were poor and of color by using test score requirements for entrance. When the data was gathered most of the existing neighborhood schools had test scores below the state average. Closing down a neighborhood school to make way for a magnet school where entrance required advanced test scores effectively excluded neighborhood children.

Casella (2003) discusses *theory* and related policies that support zero tolerance policy in schools, including rational choice theory in criminology and national crime

policies based on deterrence. Potential consequences of zero tolerance policy implementation in schools are described. These consequences are shown to involve outcomes similar to those identified by researchers who have studied national crime policy, especially in relation to incarceration. In general Casella describes a process of punishing "dangerousness" or the idea that we will punish a student not for misbehavior itself necessarily but for the capability to misbehave similar to punishing past crimes to prevent future ones like three strikes laws, registering sex offenders etc. Casella argues that zero tolerance disproportionately affects poor kids and kids of color as they are more likely to engage in confrontations to solve problems due to their upbringing and then as a consequence be removed from school. This negatively affects those already negatively affected by poverty, a double punishment that does not solve the problem. Furthermore, non-aggressors who defend themselves are often dealt out punishment because the school has a "zero tolerance" for violence even in self defense. Drawing from the qualitative data, anecdotal evidence, and related research, Casella concludes with suggestions for violence prevention based on a model of restorative justice, including a

practical agenda for what schools may do to prevent violence and to discipline students who act aggressively. Casella notes that zero tolerance has a place for serious and dangerous offenses but should simply not be used for minor discipline violations, in these cases the emphasis should be on modifying behavior while keeping kids in school.

Another researcher Pedro Noguera (2003) argues that throughout the United States, schools most frequently punish the students who have the greatest academic, social, economic, and emotional needs. An examination of students most likely to be suspended, expelled, or removed from the classroom for punishment reveals that minorities (especially Blacks and Latinos), males, and low achievers are vastly overrepresented. The enactment of zero tolerance policies related to discipline in school districts has contributed to a significant increase in the number of children who are being suspended and expelled from school. Noguera contends that it is these students with the greatest needs, and the schools inability to meet those needs, that are hurt the most by zero tolerance, they are marginalized further and further till they reject schooling all together. Noguera argues that these students reject

schooling in the end because the social contract that is inherent in schooling for most students is not providing benefits for them.

Noguera identifies three primary functions he thinks schools play: first schools *sort* students based on academic abilities, second schools *socialize* students teaching values and norms, third schools operate as institutions of *social control* providing a custodial function. Noguera argues convincingly that schools cannot provide any of these functions when students are removed from school through suspensions and expulsions, and that the students who are removed from school rarely change their behavioral patterns. Noguera cites a study he undertook in Oakland, CA where a school's most disruptive students (incidentally all black, even though the school was racially diverse, and 20 of 22 were male) and placed them in a quarantined special class all day. While teachers were thankful for the removal almost all teachers later commented that they still had some disruptive students and that maybe a second class needed to be started. Noguera asserts this as proof that exclusion is not the answer and that the problem is rooted in classroom management and a systematic approach to discipline that focuses on intervention and "kindness"

where educators see themselves as advocates for children and not prison wardens.

Consequences of Zero Tolerance

Martinez (2009) finds that school administrators continue to use zero-tolerance policies as a one-size-fits-all, quick-fix solution to curbing discipline problems with students. According to Martinez zero tolerance policies were originally intended to address serious offenses such as possession of firearms and have evolved into addressing fighting and disrespect which was not the original intent. This evolution is due to ongoing issues of school violence, however zero tolerance intervened in local control over student discipline and other than the seeming popularity of zero tolerance policies, the evidence base is lacking. Martinez contends that the literature suggests that zero-tolerance has flaws and school districts and administrators have misused it. When implemented, it typically equates to exclusion through suspension and expulsion: two disciplinary actions that have well documented negative side effects. Researchers have indicated that there are alternatives to zero-tolerance that school administrators can use to curb discipline problems. Martinez concludes

that there is no place for zero tolerance in schools and that the focus should be on screening, early intervention or prevention and classroom management, not tools to exclude students from the learning environment.

Flanagain (2007) surveyed students regarding their suspension and obtained the following results:

- A. Sixty (60%) percent said the teachers did not look at them differently after they returned from suspension while forty (40%) percent did think they were treated differently.
- B. Thirty (30%) percent said they were not allowed to make-up the lessons they missed.
- C. Twenty (20%) percent returned to class with angry sentiments.
- D. Seventy (70%) percent were not offered anger management counseling when they returned from suspension.
- E. All ten (10) students missed a minimum of seven (7) days and one (1) student missed twelve (12) days.
- F. Twenty (20%) percent of the students admitted to being abused at home.

- G. Twenty (20%) percent said their teachers did not keep their classrooms under control during teaching sessions.
- H. Forty (40%) percent were not allowed to participate in drawing up the classroom rules of conduct.
- I. Fifty (50%) percent had suffered abuse or had been involved in a fight.
- J. Fifty (50%) percent had been held back a grade.
- K. Twenty (20%) percent admitted to threatening or bullying a classmate or friend.
- L. Twenty (20%) percent admitted to verbally abusing someone, and
- M. Twenty (20%) percent admitted to breaking school property.

Based on his review of literature and the survey he conducted Flanagan suggests that suspension, whether in or out of school, needs to be rethought as an effective tool for discipline. Flanagan bases this on many studies that point to the correlation between low grades and high suspension rates, as well as the connection between missing school and having low grades. Essentially Flanagan argues that if we remove the students who are discipline problems

via suspension they are more likely to be in academic trouble as they are excluded from learning and probably have low grades in the first place. Flanagan combines this with the fact that 80% of students had no remorse for what they did and many were repeat offenders. The idea of lack of remorse, combined with repeat offenses in light of some of the statistics above, like 20% being abused at home, gives a picture of a child that needs serious social and emotional help beyond suspension if we are to be effective at behavior modification.

Evenson et. al.(2009) discuss how the discipline systems in many schools have gone from "a prevention and correction model to a reactive and punitive model" in many schools as the popularity of zero tolerance systems has grown. The researchers catalogue how the zero tolerance policy has grown and how it has disproportionately affected minority students and caused a rise in suspensions and expulsions at all levels from Kindergarten to high school. The researchers conclude by proposing the move to a system that is more responsive to student needs with the focus on early identification and correction of behavior vs. reaction to it. These early intervention strategies should decrease suspensions for minor infractions and

theoretically expulsions as well. Furthermore if social competence, or as other researchers have phrased it social capital, is systematically taught to all students the expansion of social competence has the potential to decrease school violence and create a safe and orderly environment for learning.

Tanner (2010) focuses on the implementation of zero tolerance systems as well as uniforms as panacea responses to high profile events of school violence in the nineties like the shootings at Columbine High School. Tanner asserts that one of the highest predictors of whether or not a school has a zero tolerance system is the percentage of minority students present on campus. Essentially the higher the number of minority students the more likely a school is to have a zero tolerance policy. Furthermore, like others Tanner points out research which demonstrates that zero tolerance policies tend to affect minorities the most with suspensions and expulsions highest for minority students.

Nathan L. Essex (2009) reviews zero-tolerance primarily in light of sexual harassment, one aspect usually included in the zero-tolerance realm of infractions/ punishments. Essex covers two cases where young kids, a kindergartener and a pre-kindergartener of the ages of 5

and 4 are suspended and disciplined for inappropriate touching. In the case of the four year old pre-kindergartener the child hugged a teacher's aide and rubbed his head in her chest. In the case of the five year old kindergartener he pinched another kindergartener's buttocks. Both of these students received a suspension and a mark on their elementary record which would stick with them until middle school. Essex argues that neither student fit the bill for sexual harassment as outlined in title XII and title IX of the federal statutes that affect education or in the recent Supreme Court ruling on sexual harassment in school *Davis v. Monroe County Board of Education*. Furthermore Essex argues that both children are too young to understand the concept of sexual harassment and therefore the severity of the punishment they are being held accountable to is out of line. Essex covers various other high profile incidents in regard to zero-tolerance policies that have dealt out harsh punishment for minor offenses beyond the sexual harassment ones detailed above. Essex details 11 recommendations in his article that range from carefully crafting zero-tolerance policies to constant monitoring of the affects of the policy and readjusting where necessary to ensure fairness and desired outcomes.

Schachter (2010) discusses how many schools and school districts have implemented zero tolerance policy since about 1994 with the implementation of the federal Gun Free Schools Act. Schachter discusses how these zero tolerance policies have had unintended consequences including expulsions for minor offenses or in some cases non-offenses where a student has turned in a small knife sent by mom to cut an apple in her lunch. Stories like these combined with a study from the American Psychological Association documenting no increase in school safety as a result of the implementation of zero tolerance policies has led to a movement towards positive behavioral interventions and restorative justice in many districts and schools. Schachter highlights one of these districts, Los Angeles Unified School District (LAUSD), where the district has moved towards alternatives to suspension including:

- Alternative programming
- Behavior monitoring
- Appropriate in-school alternatives
- Community service
- Counseling
- Parent supervision in school
- Mini-courses

- Restitution
- Problem solving and contracting

Schachter is careful to highlight that these efforts require more effort from classroom teachers and inherently more training to be implemented with fidelity. Furthermore Schachter notes that if they are not implemented with fidelity they often do not work. Schachter further quotes another researcher, Ronald Stephens, executive director, National School Safety Center, to emphasize that safety must still be a priority and teachers cannot spend 20-30% of their time on discipline or they will not be able to teach content, a balance has to be struck and it will be hard one. Given that safety must still be a priority suspensions and expulsions must still exist for our more violent students according to Schachter but a middle ground must be found for others to prevent exclusion and continue education while administering discipline.

Theriot and Dupper (2009) discuss how the transition from elementary to middle school is difficult for many students. They claim that the association between such transitions and changes in the types and frequencies of student discipline problems has not been adequately investigated. Using data from two school years, infractions

and dispositions for all 5th-grade students, a total of 4,196, from one school district are followed from the final year of elementary school through the first year of middle school. Results show a substantial increase in reported student discipline problems and the use of in-school suspension in middle school. This increase is most dramatic for subjectively defined infractions like "class disturbance" and "failure to follow rules" compared to more concrete, objective infractions. Implications drawn from Theriot and Dupper's work include that the number of referrals dealt out at the middle school level for subjective infractions needs to be studied more to discern whether it is student action or teacher bias. Theriot and Dupper do suggest however those infractions for peer conflict could be dealt with through concrete early intervention programs that put the emphasis on proactive de-escalation, intervention and mentoring rather than reactive punishment.

Brownstein (2010) takes on zero tolerance arguing that it is very effective at excluding kids from the learning environment, many times for minor offenses. Brownstein also highlights research that attempts to show a "school to prison pipeline" by demonstrating that zero tolerance

policies rely heavily on the juvenile justice system and refer kids to the justice system earlier and more often than before zero tolerance policies were in place.

Brownstein quotes a 2006 Arizona study stating "a first-time arrest during high school nearly doubles the odds of a student dropping out, and court appearance quadruples those odds." Furthermore minority students tend to be affected by these policies more with African American students being suspended and expelled at 3 & 3.5 times the rate of white students, with similar results for Latino students.

Brownstein connects this hostile environment to teacher attrition as well expounding on a 2005 national survey that 39-44% of teachers who left the classroom cite student discipline as a reason. Brownstein concludes that either a new system like PBIS needs to be used or more alternative methods, many that already exist, like inschool suspension with academic help, or mentoring atrisk students should be tried.

Kupchik (2009) studied four schools over a vastly different geographic, political and social region from the southwest United States to the Mid Atlantic and found that..

the four schools studied here have qualitatively similar discipline policies and approaches but

disparate disciplinary results. Each of the schools displays a willingness to intervene punitively by suspending students or referring them to police without inquiring into students' substantive problems, even if suspension rates vary considerably across the schools. By making within-school comparisons that focus almost entirely on what punishments are given and to whom, rather than how they are given out or what policies are in place, the prior research has largely missed this point. (p. 310)

Kupchik goes onto argue the difference that causes more lower socioeconomic students and students of color to be suspended and expelled is not the discipline policy as much as it is cultural capital. Kupchik argues that middle class families teach their kids coping and negotiation strategies that allow them to navigate the disciplinary world of schools and essentially get the school to tailor itself to their needs. Essentially, the low SES student and the student of color do not understand how to navigate the system and are more likely to be suspended and or expelled not because of their class or their color, but because of their cultural capital. This is evidenced by the highly punitive nature of all school discipline and the schools

willingness in Kupchik's observation to dole out that discipline at any time to anyone.

Skiba and Peterson (2000) surveyed discipline in schools contending that there is a dramatic increase in the use of zero tolerance procedures and policies for a variety of reasons, even though there is little evidence demonstrating that these procedures have increased school safety or improved student behavior. Faced with disruptive and aggressive behavior, a typical response has been the punishment and exclusion of students exhibiting challenging behavior (Skiba & Peterson, 1999). Skiba and Peterson argue a preventive, early response disciplinary model increases the range of effective options for addressing violence and disruption across both general and special education populations and is most desirable. According to Skiba and Peterson the ultimate judge of the effectiveness of any disciplinary system should be the extent to which it teaches students to solve interpersonal and intrapersonal problems without resorting to disruption or violence. Well-defined disciplinary requirements and attention to school security have a place in schools in maintaining order and ensuring safety. Yet harsh and punitive disciplinary strategies have not proven sufficient to foster a school

climate that can prevent the occurrence of school violence. Rather, a broader perspective, stressing early identification, comprehensive planning, prevention, and instruction in important social skills, is necessary if schools are to prevent the tragedies that happen too often in our schools.

The APA commissioned a task force to review the available literature and data on zero tolerance and found that in general, despite 20 odd years of implementation, there was little research detailing the effects of zero tolerance to validate or invalidate the theory. The task force came up with the following recommendations:

1. Practice

- 1.1. Apply zero tolerance policies with greater flexibility, taking school context and teacher expertise into account.
- 1.2. Teachers and other professional staff who have regular contact with students should be the first line of communication with parents and caregivers regarding disciplinary incidents.
- 1.3. Carefully define all infractions, whether major or minor, and train all staff in appropriate means of handling each infraction.

1.4. Evaluate all school discipline or school violence prevention strategies to ensure that disciplinary interventions, programs, or strategies are having a beneficial impact on student behavior and school safety.

2. Policy

2.1. Reserve zero tolerance disciplinary removals for only the most serious and severe of disruptive behaviors.

2.2. Replace one-size-fits-all disciplinary strategies with graduated systems of discipline, wherein consequences are geared to the seriousness of the infraction.

2.3. Require school police officers who work in schools to have training in adolescent development.

3. Research

3.1. Develop more systematic prospective studies on the outcomes of children who are suspended or expelled from school due to zero tolerance policies.

3.2. Expand research on the connections between the education and juvenile justice system and, in particular, empirically test the support for a hypothesized school-to-prison pipeline.

3.3. Conduct research at the national level on the extent to which school districts' use of zero tolerance disproportionately targets youth of color, particularly African American males.

3.4. Conduct econometric studies or cost-benefit analyses designed to show the relative benefits to school climate of removing students from school compared with the costs to society of such removal.

4. Alternatives to Zero Tolerance

4.1. Practice

4.1.1. Implement preventive measures that can improve school climate and improve the sense of school community and belongingness.

4.1.2. Seek to reconnect alienated youth and reestablish the school bond for students at-risk for discipline problems or violence. Use threat assessment procedures to identify the level of risk posed by student words.

4.1.3. Develop a planned policy of effective alternatives for those students whose behavior threatens the discipline or safety of the school.

4.1.4. Improve collaboration and communication between schools, parents, law enforcement, juvenile justice, and mental health professionals in order to develop an array of alternatives for challenging youth.

4.2. Policy

4.2.1. Legislative initiatives should encourage schools and school districts to provide an array of disciplinary alternatives prior to school suspension and expulsion and, to the extent possible, increase resources to schools for implementing a broader range of alternatives, especially prevention.

4.2.2. Increase training for teachers in culturally responsive classroom behavior management and instruction.

4.3. Research

4.3.1. Conduct systematic efficacy research including quasi-experimental and randomized designs to compare outcomes of programs with and without zero tolerance policies and practices.

4.3.2. Increase attention to research regarding the implementation of alternatives to zero

tolerance. What are the best and most logistically feasible ways to implement alternative programs in schools?

- 4.3.3. Conduct outcome research focused on the effects and effectiveness of various approaches to school discipline, not only in terms of effects on school climate, but also for families and the long-term functioning of children.

While these recommendations are lengthy they are also very pointed and are reflected in many of the other sources. Furthermore each of these recommendations is supported by other sources in the Taskforce's review.

A New Direction

Given the concerns with zero tolerance you find a growing number of schools implementing, and a growing body of research documenting, positive behavioral support (PBIS) as a way to combat discipline problems.

Green (2009) finds that addressing the constant challenge of improving student discipline in educational settings is a strenuous task that needs to involve all stakeholders to be successful. It is especially strenuous for district-level administrators who must address the

educational and social needs of all students. Green participated in a study of a mid-western district of elementary (preschool-8th grade) administrators and school board members implementing district-wide change surrounding discipline. Through extensive collaboration and creativity among various stakeholders, the planners developed and implement a district-wide student discipline plan based on positive behavioral interventions and supports (PBIS). The general concerns in the district ranged around consistency of discipline models, especially between elementary and middle school, and consistency of application of the model between sites and between student groups. Through a year long process the stakeholders identified the following expectations:

Elementary Expectations

- 1) Be Respectful
- 2) Be Responsible
- 3) Be Safe

Junior High Expectations

1. Be Respectful
2. Be Responsible
3. Be Peaceful
4. Be Positive

5. Be There/Be Ready

The stakeholders identified six key concepts for implementing these behavioral expectations:

1. a common purpose and approach to discipline,
2. a clear set of positive expectations and behaviors,
3. procedures for teaching expected behavior,
4. a policy of procedures for encouraging expected behaviors,
5. a policy of procedures for discouraging inappropriate behaviors, and
6. procedures for ongoing monitoring and evaluation.

The preliminary accomplishments from this study was a decrease in referrals from 21%-44% at various school sites. In addition the district gained a common language, unified approach, increased teacher visibility (teachers in hallways enforcing the new behavioral expectations), a decrease in problem behaviors, and an increase in educational time.

Covell (2009) discusses a new way to educate students being used in the county of Hampshire, England. It is titled The Rights Way, and is similar to PBIS in that it involves character education and responsibility taught through positive interaction. In this case the interaction

is through student councils, in fact students are given a say in just about everything from hiring staff to school rules. This empowerment comes through the idea of rights. This idea is then reinforced, and the positive school outcome is achieved, by advocating good citizenship and respect for other's "rights." Covell states in the article:

The better the children's understanding of rights, the more likely they were to understand responsibilities in terms of respecting the rights of others: "The most important responsibility is making sure others have their rights." And as one child so eloquently stated, responsibilities mean always doing the right thing, however unpleasant: "If there's a dead rat, don't leave it." (p. 56)

The empowerment has not just been of students, teachers are reporting fewer classroom disruptions, higher academic achievement and less burnout.

Cregor (2008) gives a very informative overview of implementing a Positive Behavioral Intervention Support (PBIS) system, including the shortcomings of the system. By using an elementary and a middle school as an example Cregor effectively covers some of the challenges of implementing a PBIS system. Cregor notes a few things other

advocates of PBIS seem to overlook, especially when contrasting PBIS systems to zero tolerance, which is that PBIS systems can still see higher referral and suspension rates of minorities as noted in the schools covered by Cregor. While over all suspension rates decreased in Cregor's sample schools by half or more, very respectable, there were still noticeably higher referral and suspension rates for minorities-predominately African Americans. This highlights the same discrepancies that exist in most other systems as well in terms of race. Furthermore Cregor notes that as much 80% staff buy in is needed to implement a PBIS system as it has a heavy teacher component and is very teacher-driven being a systems wide approach. This complicates obtaining a sustainable outcome. These hurdles aside PBIS does show a dramatic improvement over other systems in decreasing referral and suspension rates and therefore increasing student retention. Another myth that is dispelled is that PBIS systems do not involve consequences. The article cites several practitioners that discuss that discipline is part of the system; it is simply not the focus.

In "School-wide Positive Behavior Support Programs in Elementary Schools" Chelsea T. Siegel (2008) covers the

implementation of a PBIS system in her school and then concludes the following:

PBIS is far more than a program that reduces office discipline referrals. In my professional opinion as an educator and psychologist, PBIS improves the behavior of school staff, creates a more positive school culture, and goes a long way to address school violence. Further, PBIS creates additional time for academic instruction along with student academic gains. (p. 35)

Like others Siegel conclude this because PBIS strengthens the bonds between staff during the formation and implementation stages, and puts the focus on positive vs. negative interaction with students. The result is that the staff's focus and attitude are changed, towards the school, the students, and discipline. Behavior issues recede, referrals go down and academic time on task goes up.

In the unique report "General Education Teachers' Perceptions of Behavior Management and Intervention Strategies," researchers Amy Dutton Tillery, Kris Varjas, Joel Meyers and Amanda Smith Collins used open ended qualitative questioning to decipher general education teachers perceptions of behavior management. The authors

found that many of the participants in the study lacked knowledge of PBIS and RTI (response to intervention) strategies despite the fact that they are both well supported in literature and were the focus of ongoing training in the district being studied. This led the authors of the study to feel that despite a wide literature base one cannot expect that the practices espoused in literature are practiced in the classroom. Furthermore, even though the district was providing ongoing training many teachers were still unaware of the two strategies; calling into question the efficacy of the training and the obvious need for more training. That being said, many teachers reported the use of what are considered best practices in behavior management in their classrooms despite their lack of knowledge of PBIS and RTI. Many of the best practices mentioned by the teachers were PBIS practices, however no systemic use of PBIS was found. In their research the authors found a hierarchy of behavior management expressed by the teachers in their qualitative open-ended interviews which is presented in figure 1 below. Each of these perceptions is dealt with in detail in the report but in general each need more study and most can be integrated into a PBIS and RTI system.

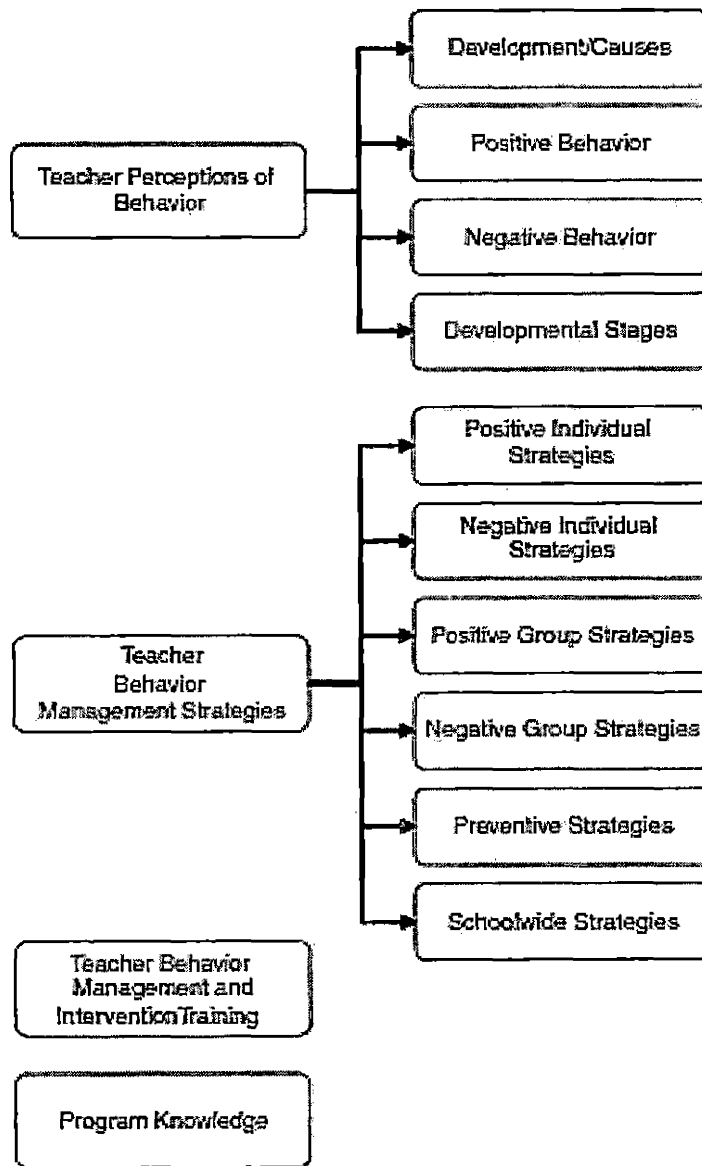


Figure 1. Teacher Perceptions Flowchart.

In "Implementation of School-Wide Positive Behavioral Interventions and Supports (PBIS) in Elementary Schools: Observations from a Randomized Trial" a study measured the fidelity with which PBIS was implemented at 21 schools using an evaluation tool called SET (school-wide evaluation tool) developed by the originators of PBIS and designed to measure the degree to which schools are implementing the core features of PBIS. Overall the authors found very high fidelity of implementation within the first two years at all schools. While the developers of PBIS indicate it can take from three to five years to reach fidelity the authors found this accomplished in 1-2, however the authors are careful to note that the desired student outcomes may take longer.

In "The Impact of School-Wide Positive Behavioral Interventions and Supports (PBIS) on the Organizational Health of Elementary Schools" the researchers of this study examined the effects of the implementation of a PBIS system in an elementary district of 37 schools. 21 schools were randomly chosen to undergo PBIS training and implementation and 16 schools were chosen by the district to refrain from implementation of PBIS until after the study. The researchers theorized that staff responses on an

organizational health inventory (OHI) survey would improve. The OHI survey asks staff about five aspects of organizational health: 1) institutional integrity, 2) staff affiliation, 3) academic emphasis, 4) organizational leadership and 5) resource influence. Participants rate the school on a 1-4 scale ranging from "rarely occurs" to "frequently occurs," the higher the score the better the participants feel their school is doing. Participants were scored prior to training in PBIS and then in May of every year (prior to re-training over summer). In all five areas the schools implementing PBIS had an increase over the schools not implementing PBIS. The authors attribute this to the collegial nature of implementing the PBIS program where staff are trained and have to work together to implement the new system on small group and then school wide level. This builds relationships and strengthens the team. Furthermore staff is allowed to take ownership of the program adding to the collegial aspect of leadership and possibly helping the scores in the organizational leadership category. Overall the authors admit it is impossible to tell how much PBIS specifically influenced the growth of each area though anecdotal evidence is strong

and comparison schools stayed steady over the same period of time.

Again, the survey of research available provided that Zero Tolerance policies have grown in scope and application since their inception in the early 1990's with the primary effect of excluding, through suspension and expulsion, large numbers of students who are overwhelmingly poor and minority. While this is seen as maintaining order by some and embodies the community with a sense that something is being done to curb violence it ignores the underlying reasons as to why students are acting out in the first place. If we view schools as a social contract we can easily make connections between those students that are not being served by the social contract and the same students' misbehavior. Therefore, a successful discipline program should keep students in the educational environment unless completely impossible by employing early intervention and education that focuses on proactive prevention of discipline issues vs. reactive punishment of discipline issues. Furthermore schools that have switched to a proactive early intervention model have experienced positive affects in other areas as well, from staff moral to overall school culture.

CHAPTER THREE

METHODOLOGY

Introduction

In an attempt to answer the research question two methods were used. The first method was an interview with principals in the district to get a first hand practitioner perspective using open ended qualitative questioning. The interview Questions are attached as APPENDIX B. Out of 17 schools I was able to schedule and complete interviews with 10 principals for a response rate of 59 percent. The data is provided in chart form below.

The second method used was a historical review of the district's discipline procedures included conducting a meta-analysis of the following data: enrollment, suspension rates, placements, expulsion rates, academic performance index, adequate yearly progress, percent of students at or above proficiency in english language arts (ELA) and math (district wide and for just fifth and sixth grade), parent education level, mobility, and socioeconomic status (SES) as determined by the percentage of the student population that is entitled to free or reduced lunch. The meta-analysis attempted to discern at first the trends in

individual data and then correlations amongst the data. The data was queried from the California Department of Education website, the district office, and the School Accountability Report Cards.

Design of the Investigation

This investigation was designed to figure out what effect the new discipline policy employed by the school district is having on student academic achievement and retention and whether or not there was a correlation. To measure academic achievement I used publicly available data on test scores from the California standards test (CST) for 5th and 6th grades as well as interviews from principals. For retention data I also used publicly available data from the California department of education and the districts central office. I then studied and compared this data to see what the trends were and if there is a correlation between the two.

Population Sample

Due to the limitations on human subjects students were not interviewed or surveyed. The focus of this research was on Principals and publicly available quantitative data on

suspensions, placements and expulsions for all students which was sourced from the California department of education's website.

Treatment

I queried information, when and where available, from the California Department of Education website, the District Office and the School Accountability Report Cards. The data is reported out in its entirety in APPENDIX C at the end of this document and in individual tables below. Retention and academic achievement data are reported and presented below with some aggregation and disaggregation presented, the analysis of data is concluded at the end in the conclusion and recommendation section.

Data Analysis Procedures

The tests scores, suspension and expulsion data were analyzed from year to year to see if increases or decreases could be seen. The data was disaggregated by sub-groups and different minorities and low socioeconomic status students were compared to see if any sub-groups results were different from the trends.

CHAPTER FOUR
FINDINGS AND RESULTS

Results and Discussion

Through my study of the districts data and interviews with principals it became clear that the district discipline policy was clearly supported by the principals and academic progress was trending in the right direction. While most data shows an improvement in both ELA and math scores the district failed to meet AYP goals for two targeted subgroups, students with disabilities and African American students. This points to exactly what the research says, namely that the students affected the most by zero tolerance are minority students and students with disabilities. While principals indicated that they found the discipline policy very straightforward and fair and indicated in their experiences they felt behavior was being modified spike in suspensions and expulsions from last year tells another story. More work is still needed as is detailed below after the findings.

Presentation of the Findings

The two methods used during the research were interviews with principals and analysis of data, each are presented below.

Principal Interview

It was possible to interview 10 out of 17 principals in the time available. The level of similarity in both answers and overall message was remarkable. In answering the questions many principals answers were almost verbatim in their similarity, the same vocabulary was heard again and again. When speaking to the purpose and outcomes of the discipline policy and the placement process the principals gave remarkably similar answers as well and the message regarding purpose was unanimous. Below are the results.

Question One. To what degree do you think that the Victor Elementary discipline policy has helped to increase student achievement?

All respondents indicated that the discipline policy had a strong positive effect on student achievement. All indicated that the policy helped to create a safe and orderly environment where learning could occur. There was a dual focus in all answers, the first being that of the environment being safer and allowing for learning and the

second being that students responded very well to clear and consistent expectations.

Question Two. To what degree do you think that the Victor Elementary discipline policy has helped to increase student retention?

All respondents indicated that there was a strong correlation between the discipline policy and student retention. Three major reasons were cited by all:

1. Clearly communicated behavior expectations with known consequences lower discipline problems. The second year of implementation suspensions and expulsions dropped.
2. There is another step before expulsion (i.e. placement) that is very beneficial in effectuating behavior change.

Clearly communicated behavior expectations with known consequences create a safe learning environment that students will want to remain in so student flight is down.

Question Three. Is the Victor Elementary discipline policy excessively prescriptive?

Resoundingly the answer was no. All cited the discipline policy as a guideline and not a hard line rule. There were some caveats that a few through in though which

included big infractions like weapons on campus, drugs, or fights. In these cases several were quick to point out that California Education code and federal law prescribe certain punishments that must be doled out however so the discipline policy was prescriptive in these areas to the effect that the law itself is prescriptive.

Question Four. Is the Victor Elementary discipline policy excessively punitive?

All of the respondents stated the discipline policy was not punitive because before a student would be brought up for suspension in most cases (weapons, drugs and fighting excluded) multiple interventions and progressive discipline would be used. The child would have several opportunities to correct behavior prior to exclusion from school or severe punishment like placement or expulsion.

Question Five. Is the Victor Elementary discipline policy overly complicated?

All respondents answered no. All said it was very simple and straight forward.

Question Six. Do you feel it is difficult to implement the discipline policy with fidelity?

All respondents found this question difficult or as some termed it "tricky" to answer. All expressed to some

degree that by nature of the fact that the discipline policy was a guideline and each student and situation is different fidelity is hard to come by. All expressed they felt they were consistent at their site, most expressed you would probably find some variance between sites however.

Question Seven. What are the discipline procedures teachers use in the classroom?

Answered varied the most to this question. Each site develops its own discipline plan for classroom discipline. About half of the sites had a school wide discipline plan and about half had classroom or grade level discipline plans that could vary across campus. All of these plans had to fit within the district guideline, comply with education code, and be progressive. Without fail each principal stated that before a student was sent to the office (weapons, drugs and fighting excluded) documentation of interventions and parent contact had to be provided. Most principals used the analogy that misdemeanors were handled in the classroom and felonies in the office.

Question Eight. To what degree do you think the Victor Elementary placement process has increased student achievement?

All respondents thought that student achievement had been increased due to two main factors:

1. A safe and orderly environment has been created where learning is the focus and being a rule breaker is not accepted or tolerated.
2. Students who are rule breakers have a step before expulsion, placement, that is a serious shock that wakes up most students and gives them a fresh start allowing them to break old patterns and become successful.

Question Nine. To what degree do you think the Victor Elementary placement process has increased student retention?

All respondents stated that they thought the placement process increased retention as it made campuses safer, keeping existing students from leaving for feeling unsafe and allowing students a chance to start over and have one more chance at success prior to expulsion. Furthermore all principals noted that placement students rarely want to go back to their old school as usually do better at their new school for a variety of factors.

Question Ten. Do you feel the placement process is fair and equitable to the student being placed? Please explain.

All respondents answered yes stating that placement was an arduous process where interventions had to be proved and due process honored prior to a student be removed from a school, much like an expulsion. Furthermore all respondents indicated that the placement panel attempts to ensure that the student is placed at a school where he or she will be a good fit and in an environment that will provide the best opportunity for that child to grow and succeed.

Question Eleven. Do you feel the placement process is fair and equitable to the receiving school where the student is placed? Please explain.

All respondents answered yes. A few indicated one school may receive a higher share of the burden at times due to a variety of factors like space etc. but all indicated that if you were going to place a child you had to be willing to receive one as well, in this sense all respondents viewed this as a team effort.

Question Twelve. Do you feel the placement process is successful in maintaining a safe school environment? Please explain.

All respondents answered yes citing clear behavior expectations that are backed up by well known and clearly communicated consequences creating a safe and orderly learning environment where academics is the core focus.

Question Thirteen. Do you feel the placement process is successful in creating a climate of high expectations for students? Please explain.

All respondents answered yes citing clear behavior expectations that are backed up by well known and clearly communicated consequences creating a safe and orderly learning environment where academics is the core focus.

Question Fourteen. Do you feel the placement process is successful in reforming student behavior? Please explain.

All respondents said yes as none could remember a placement student that they pushed on to expulsion. While none would say this had never happened each stated they had never had to expel a placement student. That being said the violations that were occurring to get the student placed in the first place were obviously no longer an issue, or

substantially less of one so behavior must have been modified for the better.

Question Fifteen. Do you feel the placement process helps students to become successful academically, socially? Please explain.

All respondents said yes and each clarified that this happened to varying degrees depending on the child. All agreed that social behavior was modified first and academics usually, though not always followed.

Retention

As Table 1 below makes clear expulsions went up from 2003 to 2009 seven fold or in other words the number of students expelled increased from 7 in 2003 to 58 in 2009. While the number of students may or may not sound dramatic given one's background the percentage is flooring. However expulsions were already on the rise from 2003-2004 to the 2005-2006 school year, the year prior to implementation, rising 4.3 times (7 students in 2003-2004 to 34 students in 2005-2006). The increase from the implementation year (2006-2007) to the last year data is available (2008-2009) however increased 2.5 times (23 students in 2006-2007 to 58 students in 2008-2009). While the overall numbers of students expelled remains at only half a percent this is

significant in a district of 11,525 students, furthermore the percentage increase in expulsions is tremendous, and no matter how you look at it expulsions have more than doubled.

Placements (the step before expulsion) have skyrocketed as well, more than doubling since the implementation year and increasing about 550% since the 2003-2004 school year. Recall that with placements students are still in the educational environment, they have just been transferred, or placed, in another school. The only area you do not see a wholesale increase is in suspensions. While there has been an increase in suspensions, about 25%, it is not as dramatic as expulsions. In fact if you measure from the year just prior to implementation to the last year data is available the increase is negligible.

Table 1. Retention Data.

Criteria	2003 to 2004	2004 to 2005	2005 to 2006	2006 to 2007	2007 to 2008	2008 to 2009	2009 to 2010
Enrollment	9805	1060 5	1130 3	1170 5	1198 2	1152 5	N/A
Placements	9	13	16	26	23	59	N/A
Placement Rate (as % of enrollment)	0.09	0.12	0.14	0.22	0.19	0.51	N/A
Suspension Rate (as % of enrollment)	N/A	9.7	11.8	9.7	9.4	12.1	N/A
Expulsion Rate (as % of enrollment)	0.07	0.05	0.3	0.2	0.1	0.5	N/A

Academic Achievement

As table 2 below demonstrates academic achievement in all measurable areas that were surveyed except AYP increased. API scores increased from 727 to 804 from the 2003-2004 school year to the 2008-2009 school year. API rose from 760 to 804 from implementation year to the most current data, either way an impressive climb for a district API. The district barely missed AYP making 32 out of 35 targets. The three targets that were missed though were students with disabilities whom did not make their targets for ELA or Math and African American students did not make their ELA target for a total of three targets missed-all minority groups.

ELA scores rose district wide and in 5th and 6th grades. District wide ELA rose 12 percentage points or about 30% from the 2004-2005 school year to the 2008-2009 school year. From the 2006-2007 implementation year to 2008-2009 the last year with data the District wide ELA scores increased by 9.9 percentage points or 23%. In 5th grade the ELA scores rose 11 percentage points from the 2003-2004 school year to the 2008-2009 school year or 27%. 5th grade ELA rose 1 percentage, after a drop, from the 2006-2007 school year to the 2008-2009 school year or about 2%. 6th

!

grade ELA score rose as well, by 20 points from the 2003-2004 school year to the 2008-2009 school year or 62%. 6th grade ELA scores rose 14 points from the 2006-2007 school year to the 2008-2009 school year or about 37%. No matter how the math is done the scores have risen in ELA though the growth rate has slowed.

Math scores tell a similar story to the ELA scores, again district wide or by 5th or 6th grade scores rose from the 2003-2004 school year to the 2008-2009 school year. District wide scores rose 11 points from the 2004-2005 school year to the 2008-2009 school year or 22%. If we again take a look at the implementation year for the new district discipline policy, 2006-2007, up to the most recent years 2008-2009 we find that Math scores increased 8 points or 16%. At the 5th grade level math scores increased 18 points from the 2003-2004 school year to the 2008-2009 school year or 47%. From the 2006-2007 school year to the 2008-2009 school year the 5th grade scores increased 9 points or 19%. For 6th Grade the overall increase from the 2003-2004 school year to the 2008-2009 school was 14 points or 42%. From the 2006-2007 school year to the 2008-2009 school year 6th grade scores increased 11 points or 31%.

Again no matter how you do the Math scores have increased though, like ELA, the growth rate has slowed.

Table 2. Academic Data.

Criteria	2003 to 2004	2004 to 2005	2005 to 2006	2006 to 2007	2007 to 2008	2008 to 2009
API Growth	727	742	746	760	781	804
AYP	N/A	33/33	35/35	35/35	35/35	32/35
District ELA at or above Proficiency	N/A	41.1	42.1	43.4	47.6	53.3
District Math at or above Proficiency	N/A	49.2	49.9	51.8	55.2	60.1
5 th Grade ELA at or above Proficiency	41	38	38	51	47	52
5 th Grade Math at or above Proficiency	38	40	46	47	49	56
6 th Grade ELA at or above Proficiency	32	40	36	38	48	52
6 th Grade Math at or above Proficiency	33	39	34	36	40	47

Discussion of Findings

In considering the validity of the data from the district it is appropriate to check for other factors that may have influenced, positively or negatively, any of the trends deciphered above. While it is impossible to examine every factor there are a couple of major indicators that influence student achievement and coincide with student behavior that can be checked. In Table 3 the data for socioeconomic status, as measured by the percentage of students on free or reduced lunch, parent education level, as measured on the home survey when students enroll, and mobility, as measured by students who enter the school system and leave before finishing a grade are all listed. This data is tracked by the California Department of Education and available on their website listed in the reference section. From the data it is clear that SES has increased 7 points from the 2004-2005 school year to the 2008-2009 school year or 11.3%, not overwhelming but a discernable change. Mobility, an indicating factor in regards to student academic achievement, trended up a couple of points and then down one point to remain relatively stable. Parent education level has stayed in a range from 2.62-2.66 fairly constant.

Table 3. Other Factors.

Criteria	2003 to 2004	2004 to 2005	2005 to 2006	2006 to 2007	2007 to 2008	2008 to 2009
Parent Education Level	N/A	N/A	2.66	2.62	2.63	2.65
Mobility	N/A	N/A	86	86	89	87
Free or Reduced lunch	N/A	N/A	62	61	67	69

CHAPTER FIVE

CONCLUSIONS AND RECOMMENDATIONS

Conclusion

While the data reflects that academic achievement has increased at all levels the rate of growth appears to be slowing. Anecdotal data received through colleagues and educational administration courses here at California State University San Bernardino leads one to believe that many districts are experiencing a slowdown in their proficiency rates as they approach 50%, furthermore many schools are starting to fail to meet there academic proficiency goals set forth in AYP as the targets increase steadily every year. This taken into account it is hard to fault the district for a slowing academic growth rate. More analysis needs to be done to compare VESD with other districts of similar demographics to establish whether VESD's academic trends are in line with other districts or not.

While academic achievement is trending in the right direction suspensions, placements and expulsions certainly are not. No matter how the math is done all three are increasing. While it can be argued that placements keep students in school receiving an education they are still

disruptive to the educational environment and only done in lieu of an expulsion, therefore the student may be in school still but has had a serious disruption in schooling. Furthermore there has been a dramatic increase in suspensions, placements and expulsions meaning more students are spending more time out of class at all levels. When we connect this to the AYP targets that have been missed we easily see that African Americans and students with disabilities are the students failing first in this district. While suspension and expulsion data is not publicly available broken down by race a postulation based on research would be that the students affected the most by the suspensions, placements and expulsions would be African Americans and Hispanic/Latinos by race and poor students by SES. This is a topic for further study and should be addressed. Despite the lack of disaggregated data for suspensions, placements and expulsions by race two things are clear: students are spending more time out of school as a result of this policy and for the first time in years AYP targets have not been met.

The district suspension, placement and expulsion data needs to be thoroughly examined to decipher which student populations are being most affected by the new discipline

policy. This will require interaction with human subjects and going through student cumulative files however. A further topic for investigation would be the success rates of students on placement after their placement year. This data is not publicly available but could easily be gathered through student cume files and interviews with past students and should be investigated.

Recommendations

Further study needs to be completed in four areas. First placements to expulsions of individual students need to be correlated to evaluate the effectiveness of placements. The students placed at new schools need to be tracked to evaluate whether or not they become academically successful in terms of grades and whether or not they are retained by the system. The interviews with principals seem to indicate that students are retained after placement but no hard data exists to substantiate the claim. This data needs to be tracked.

Second, more investigation is also needed to ascertain the students understanding and evaluation of the process. No data exists to express the student, or parents, thoughts on the placement process and its effectiveness at modifying

behavior, increasing academics or retaining students. Further study should include interviews with students and parents of students who have undergone the placement process to find out their interpretation of the discipline policy and their motivations for changing if change occurred.

Third, further study in a longitudinal manner is also needed. Interviews with principals indicated that suspensions and expulsions increased the first year of implementation of the policy but have since receded now that behavior expectations have been clearly communicated and students, parents, and staff understand the new, harsher rules.

Fourth, further investigation is needed to determine why the district missed its AYP targets for students with disabilities and African Americans. This is a serious concern that seems to validate much of the literatures claims of the results of zero tolerance.

These four recommendations each present very poignant questions regarding the efficacy of the discipline policy that need to be addressed for to validate or invalidate the conclusion that minorities seem to be disproportionately affected, academically, in the district. Furthermore the

district recently placed emphasis on some positive behavioral supports that are not reflected in any of this data as the implementation is too new. Programs like character counts, decision pyramids and other PBIS style programs may complement the discipline system and help to add the cultural capital so stressed in the literature. Any future study, especially one involving student interviews or questionnaires, would ideally attempt to assess the student perceptions of not only the discipline policy but also of the PBIS systems in place. Again a longitudinal look at this would be most effective, especially given the early results. The interviews with principals indicate that many interventions are in place to foster student success, however AYP targets for two high risk minorities are not being met and this should be investigated and tracked along with the recommendations above to gain a full understanding of why this is occurring.

APPENDIX A
DISTRICT DISCIPLINE POLICY

**VICTOR ELEMENTARY SCHOOL DISTRICT
GUIDELINES FOR SEVERE DISCIPLINE**

	1st Offense			2nd Offense			3rd Offense		
	K-3	4-5	6	K-3	4-5	6	K-3	4-5	6
DAMAGED PROPERTY EC 48900 (f)	Conference, Restitution, Parent Involvement, Sheriff Involvement, Optional, Referral for Behavior SST 1-3 days suspension	Conference, Restitution, Parent Involvement, Sheriff Involvement, Optional, Referral for Behavior SST 3 days suspension	Conference, Restitution, Parent Involvement, Sheriff Involvement, Optional, Referral for Behavior SST 5 days suspension	Follow up SST Meeting 3 days suspension	Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Placement or Expulsion 3-5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
THEFT EC 48900 (g)	Parent Involvement, Restitution, Sheriff Involvement, Referral for Behavior SST 1-3 days suspension.	Parent Involvement, Restitution, Sheriff Involvement, Referral for Behavior SST 3 days suspension	Parent Involvement, Restitution, Sheriff Involvement, Referral for Behavior SST 5 days suspension	Follow up SST Meeting 3 days suspension	Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Placement or Expulsion 3-5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
USED/POSSESSED TOBACCO EC 48900 (h)	Parent Involvement, Referral for Behavior SST 3 days suspension- possession 5 days suspension-using	Parent Involvement, Referral for Behavior SST 3 days suspension- possession 5 days suspension-using	Parent Involvement, Referral for Behavior SST 5 days suspension - possession or using	Referral for Placement 3-5 days suspension	Referral for Placement 5 days suspension	Referral for Placement 5 days suspension	Referral for Expulsion 5 days suspension	Referral for Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
PROFANITY/VULGARITY EC 48900(i)	Conference, Parent Involvement, Referral for Behavior SST 1-3 days suspension	Conference, Parent Involvement, Referral for Behavior SST 3 days suspension	Conference, Parent Involvement, Referral for Behavior SST 5 days suspension	Follow up SST Meeting 3 days suspension	Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
POSSESSION/SALE OF PARAPHERNALIA EC 48900 (j)	Parent and Sheriff Involvement, Referral for Behavior SST 1-3 days suspension	Parent and Sheriff Involvement, Referral for Behavior SST 3-5 days suspension	Parent and Sheriff Involvement, Referral for Behavior SST 5 days suspension	Follow up SST Meeting 3-5 days suspension	Referral for Placement Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Expulsion 5 days suspension	Referral for Expulsion 5 days suspension	Referral for Expulsion 5 days suspension

* Per Education Code, K-3 excused, conference with parent to educate.

**VICTOR ELEMENTARY SCHOOL DISTRICT
GUIDELINES FOR SEVERE DISCIPLINE**

	1st Offense			2nd Offense			3rd Offense		
	K-3	4-5	6	K-3	4-5	6	K-3	4-5	6
DISRUPTIVE BEHAVIOR/ DEFIANCE EC 48900 (k)	Parent Conference, Referral for Behavior SST 1 day suspension	Parent Conference, Referral for Behavior SST 3 day suspension	Parent Conference, Referral for Behavior SST 5 day suspension	Referral for Placement Follow up SST Meeting 3 days suspension	Referral for Placement Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
RECEIVED STOLEN PROPERTY EC 48900 (l)	Conference, Parent Involvement, Restitution if applicable, Sheriff if severe, Referral for Behavior SST 1-3 days suspension	Conference, Parent Involvement, Restitution if applicable, Sheriff if severe, Referral for Behavior SST 3 days suspension	Conference, Parent Involvement, Restitution if applicable, Sheriff if severe, Referral for Behavior SST 5 days suspension	Follow up SST Meeting Sheriff Optional 3 days suspension	Follow up SST Meeting Sheriff Optional 5 days suspension	Referral for Placement Sheriff Optional 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
POSSESSION OF AN IMITATION FIREARM <i>(Replica so similar in physical properties to lead a reasonable person to conclude that the replica is a firearm)</i> EC 48900 (m)	Referral for Behavior SST 1-3 days suspension	Referral for Behavior SST 3 days suspension	Referral for Behavior SST 5 days suspension	Follow up SST Meeting Referral for Placement 3-5 days suspension	Follow up SST Meeting Referral for Placement 5 days suspension	Follow up SST Meeting Referral for Placement 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
SEXUAL ASSAULT* EC 48900 (n)	Conference with student and Parent								
HARASS, THREATEN, OR INTIMIDATE A WITNESS EC 48900 (o)	Conference with student and Parent Referral for Behavior SST 1 day suspension	Conference with student and Parent Referral for Behavior SST 3 days suspension	Conference with student and Parent Referral for Behavior SST 5 days suspension	Follow up SST Meeting 3 days suspension	Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
OFFER, ARRANGE SALE R_x DRUG SOMA EC 48900 (p)									
Act of Bullying including electronic as defined in ED Code 32261	Conference with student and Parent Referral for Behavior SST	Conference with student and Parent Referral for Behavior SST	Conference with student and Parent Referral for Behavior SST	Follow up SST Meeting	Follow up SST Meeting	Referral for Placement	Referral for Placement or Expulsion	Referral for Placement or Expulsion	Referral for Expulsion

* Per Education Code, K-3 excused, conference with parent to educate.

**VICTOR ELEMENTARY SCHOOL DISTRICT
GUIDELINES FOR SEVERE DISCIPLINE**

	1st Offense			2nd Offense			3rd Offense		
	K-3	4-5	6	K-3	4-5	6	K-3	4-5	6
EC 48900 (r)	1 day suspension	3 days suspension	5 days suspension	3 days suspension	5 days suspension	5 days suspension	5 days suspension	6 days suspension	5 days suspension
AID OR ABET INFLICTION OF PHYSICAL INJURY EC 48900 (s)	Referral for Behavior SST 1 day suspension	Referral for Behavior SST 3 days suspension	Referral for Behavior SST 5 days suspension	Follow up SST Meeting 3 days suspension	Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
SEXUAL HARASSMENT * (Any unwelcome physical, verbal or nonverbal behavior that make a student uncomfortable) EC 48900.2	Conference with student and Parent	Conference with student and Parent 1-3 days suspension	Conference with student and Parent 5 days suspension	Referral for Behavior SST 1 day suspension	Referral for Behavior SST 3-5 days suspension	Referral for Placement 5 days suspension	Follow up SST Meeting 3 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 6 days suspension
HATE VIOLENCE * (Withfully injure, intimidate, deface damage or destroy real or personal property because of any person's race, color, religion, disability, gender or sexual orientation, etc) EC 48900.3	Parent Conference Referral for Behavior SST	Parent Conference Referral for Behavior SST 3 days suspension	Parent Conference Referral for Behavior SST 5 days suspension	Follow up SST Meeting 1 day suspension	Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Placement 3-5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
HOSTILE ENVIRONMENT/ HARASSMENT * (Engage in harassment, threats or intimidation, sufficiently severe or pervasive to disrupt classwork, create disorder, or invade the rights of pupil or group of pupils by creating a hostile environment) EC 48900.4	Referral for Behavior SST Parent Conference	Referral for Behavior SST 3 days suspension	Referral for Behavior SST 5 days suspension	Follow up SST Meeting 1-3 days suspension	Follow up SST Meeting 5 days suspension	Referral for Placement 5 days suspension	Referral for Placement or Expulsion 3-5 days suspension	Referral for Placement or Expulsion 5 days suspension	Referral for Expulsion 5 days suspension
TERRORIST THREATS AGAINST SCHOOL OFFICIALS OR PROPERTY OR BOTH EC 48900.7	Conference with student and Parent Referral for Behavior SST 1-3 days suspension	Conference with student and Parent Referral for Behavior SST 3 days suspension	Conference with student and Parent Referral for Behavior SST 5 days suspension	Referral for Placement 3 days suspension	Referral for Placement 5 days suspension	Referral for Placement 5 days suspension	Referral for Expulsion 3-5 days suspension	Referral for Expulsion 5 days suspension	Referral for Expulsion 5 days suspension

* For Education Code, K-3 excluded, conference with parent to educate.

**VICTOR ELEMENTARY SCHOOL DISTRICT
GUIDELINES FOR SEVERE DISCIPLINE**

	1st Offense			2nd Offense			3rd Offense		
	K-3	4-5	6	K-3	4-5	6	K-3	4-5	6
MAKING A FALSE REPORT AGAINST A STAFF MEMBER EC 48400 (K)									
ASSAULT ON A DISTRICT EMPLOYEE									
POSSESSING A WEAPON OR OTHER DANGEROUS INSTRUMENT EC 48110 (K)									
BRANDISHING A KNIFE AT ANOTHER PERSON EC 48110 (K)									
SELLING OR CONTROLLING A SUBSTANCE EC 48110 (K)									
COMMITTING OR ATTEMPTING TO COMMIT A SEXUAL ASSAULT EC 48010 (K)									
POSSESSION OF AN EXPLOSIVE EC 48110 (K)									

- DEFIANCE -** When a student overtly challenges the authority of any adult in the performance of their duties within the school setting and refuses to do as directed. (K) A student shows complete disregard for a rule, law or person in authority.
- FIGHT -** An incident in which 2 or more students are engaged in mutual physical combat (a)(1). When 2 or more students use violent physical means such as blows with fists or a weapon to overpower somebody.
- SELF-DEFENSE -** Forceful resistance to immediate unforeseen physical harm. A student may protect themselves from an "unexpected" attack when there is no avenue of escape and "no way" to get adult help.

* Per Education Code, K-3 excused, conference with parent to educate.

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APPENDIX B
PRINCIPAL INTERVIEW

1. To what degree do you think that the Victor Elementary discipline policy has helped to increase student achievement?
2. To what degree do you think that the Victor Elementary discipline policy has helped to increase student retention?
3. Is the Victor Elementary discipline policy excessively prescriptive?
4. Is the Victor Elementary discipline policy excessively punitive?
5. Is the Victor Elementary discipline policy overly complicated?
6. Do you feel it is difficult to implement the discipline policy with fidelity?
7. What are the discipline procedures teachers' use in the classroom?
8. To what degree do you think the Victor Elementary placement process has increased student achievement?
9. To what degree do you think the Victor Elementary placement process has increased student retention?
10. Do you feel the placement process is fair and equitable to the student being placed? Please explain.

11. Do you feel the placement process is fair and equitable to the receiving school where the student is placed? Please explain.
12. Do you feel the placement process is successful in maintaining a safe school environment? Please explain.
13. Do you feel the placement process is successful in creating a climate of high expectations for students? Please explain.
14. Do you feel the placement process is successful in reforming student behavior? Please explain.
15. Do you feel the placement process helps students to become successful academically, socially? Please explain.

APPENDIX C

RETENTION AND ACADEMIC ACHIEVEMENT DATA TABLE

Criteria	2003 to 2004	2004 to 2005	2005 to 2006	2006 to 2007	2007 to 2008	2008 to 2009
Enrollment	9805	10605	11303	11705	11982	11525
Placements	9	13	16	26	23	59
Placement Rate (as % of enrollment)	0.0009	0.0012	0.0014	0.0022	0.0019	0.0051
Suspension Rate (as % of enrollment)	N/A	9.7	11.8	9.7	9.4	12.1
Expulsion Rate (as % of enrollment)	0.07	0.05	0.3	0.2	0.1	0.5
API Growth	727	742	746	760	781	804
AYP	N/A	33/33	35/35	35/35	35/35	32/35
ELA at or above proficient.	N/A	41.1	42.1	43.4	47.6	53.3
Math at or above Proficient.	N/A	49.2	49.9	51.8	55.2	60.1
Parent Education Level	N/A	N/A	2.66	2.62	2.63	2.65
Mobility	N/A	N/A	86	86	89	87
Free or Reduced lunch	N/A	N/A	62	61	67	69
5 th Grade ELA at or above Proficiency	41	38	38	51	47	52
5 th Grade Math at or above Proficiency	38	40	46	47	49	56
6 th Grade ELA at or above Proficiency	32	40	36	38	48	52
6 th Grade Math at or above Proficiency	33	39	34	36	40	47

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